SEAGATE

# Constellation ES.3

SAS Product Manual

**Standard models**

ST4000NM0023
ST3000NM0023
ST2000NM0023
ST1000NM0023

**Self-Encrypting Drive models**

ST4000NM0043
ST3000NM0043
ST2000NM0043
ST1000NM0043

**SED (FIPS 140-2) models**

ST4000NM0063
ST3000NM0063
ST2000NM0063
ST1000NM0063

100671510, Rev. F
September 2016

# Document Revision History

| Revision | Date | Description of changes |
|---|---|---|
| Rev. A | 10/05/2011 | Initial release. |
| Rev. B | 10/31/2011 | 37. |
| Rev. C | 02/13/2014 | fc, 2, 9 & 53. |
| Rev. D | 10/16/2014 | 12 & 16-17. |
| Rev. E | 03/28/2016 | 21, 24-27 & 50 |
| Rev. F | 09/01/2016 | 8: Revised Korean & Australian Compatibility statements<br>9: Updated to China RoHS 2<br>55: Changed Information Exception Log Page 2Fh to "Y"<br>70: Corrected characters in LED table |

**© 2016 Seagate Technology LLC. All rights reserved.**

Publication number: 100671510, Rev. F September 2016

Seagate, Seagate Technology and the Spiral logo are registered trademarks of Seagate Technology LLC in the United States and/or other countries. Raid Rebuild and SeaTools are either trademarks or registered trademarks of Seagate Technology LLC or one of its affiliated companies in the United States and/or other countries. The FIPS logo is a certification mark of NIST, which does not imply product endorsement by NIST, the U.S., or Canadian governments.All other trademarks or registered trademarks are the property of their respective owners.

No part of this publication may be reproduced in any form without written permission of Seagate Technology LLC.
Call 877-PUB-TEK1 (877-782-8351) to request permission.

When referring to drive capacity, one gigabyte, or GB, equals one billion bytes and one terabyte, or TB, equals one trillion bytes. Your computer's operating system may use a different standard of measurement and report a lower capacity. In addition, some of the listed capacity is used for formatting and other functions, and thus will not be available for data storage. Actual quantities will vary based on various factors, including file size, file format, features and application software. Actual data rates may vary depending on operating environment and other factors. The export or re-export of hardware or software containing encryption may be regulated by the U.S. Department of Commerce, Bureau of Industry and Security (for more information, visit www.bis.doc.gov), and controlled for import and use outside of the U.S. Seagate reserves the right to change, without notice, product offerings or specifications.

# Contents


**Seagate® Technology Support Services ..... 5**

**1.0 Scope ..... 6**

**2.0 Applicable standards and reference documentation ..... 7**
2.1 Standards ..... 7
2.1.1 Electromagnetic compatibility ..... 7
2.1.2 Electromagnetic compliance ..... 7
2.1.3 European Union Restriction of Hazardous Substances (RoHS) ..... 8
2.1.4 China Requirements — China RoHS 2 ..... 9
2.2 Reference documents ..... 10

**3.0 General description ..... 11**
3.1 Standard features ..... 12
3.2 Media description ..... 12
3.3 Performance ..... 12
3.4 Reliability ..... 13
3.5 Formatted capacities ..... 13
3.6 Programmable drive capacity ..... 13
3.7 Factory-installed options ..... 13

**4.0 Performance characteristics ..... 14**
4.1 Internal drive characteristics ..... 14
4.1.1 Format command execution time for 512-byte sectors (minutes) ..... 14
4.1.2 General performance characteristics ..... 14
4.2 Start/stop time ..... 15
4.3 Prefetch/multi-segmented cache control ..... 15
4.4 Cache operation ..... 15
4.4.1 Caching write data ..... 16
4.4.2 Prefetch operation ..... 16

**5.0 Reliability specifications ..... 17**
5.1 Error rates ..... 17
5.1.1 Recoverable Errors ..... 17
5.1.2 Unrecoverable Errors ..... 17
5.1.3 Seek errors ..... 17
5.1.4 Interface errors ..... 17
5.2 Reliability and service ..... 18
5.2.1 Annualized Failure Rate (AFR) and Mean Time Between Failure (MTBF) ..... 18
5.2.2 Hot plugging the drive ..... 18
5.2.3 S.M.A.R.T. ..... 19
5.2.4 Thermal monitor ..... 20
5.2.5 Drive Self Test (DST) ..... 20
5.2.6 Product warranty ..... 22

# Contents


**6.0 Physical/electrical specifications . . . 23**

6.1 PowerChoice™ power management . . . 23
6.1.1 PowerChoice reporting methods . . . 24
6.2 AC power requirements . . . 24
6.3 DC power requirements . . . 24
6.3.1 Conducted noise immunity . . . 29
6.3.2 Power sequencing . . . 29
6.3.3 Current profiles . . . 30
6.4 Power dissipation . . . 34
6.5 Environmental limits . . . 38
6.5.1 Temperature . . . 38
6.5.2 Relative humidity . . . 38
6.5.3 Effective altitude (sea level) . . . 38
6.5.4 Shock and vibration . . . 39
6.5.5 Acoustics . . . 41
6.5.6 Air cleanliness . . . 41
6.5.7 Corrosive environment . . . 41
6.5.8 Electromagnetic susceptibility . . . 41
6.6 Mechanical specifications . . . 42

**7.0 About FIPS . . . 43**

**8.0 About self-encrypting drives . . . 44**

8.1 Data encryption . . . 44
8.2 Controlled access . . . 44
8.2.1 Admin SP . . . 44
8.2.2 Locking SP . . . 44
8.2.3 Default password . . . 44
8.3 Random number generator (RNG) . . . 45
8.4 Drive locking . . . 45
8.5 Data bands . . . 45
8.6 Cryptographic erase . . . 45
8.7 Authenticated firmware download . . . 46
8.8 Power requirements . . . 46
8.9 Supported commands . . . 46
8.10 Sanitize - CRYPTOGRAPHIC ERASE . . . 46
8.11 RevertSP . . . 46

# Contents


**9.0 Defect and error management ..... 47**
9.1 Drive internal defects/errors ..... 47
9.2 Drive error recovery procedures ..... 47
9.3 SAS system errors ..... 48
9.4 Background Media Scan ..... 48
9.5 Media Pre-Scan ..... 49
9.6 Deferred Auto-Reallocation ..... 49
9.7 Idle Read After Write ..... 49
9.8 Protection Information (PI) ..... 49
9.8.1 Levels of PI ..... 50
9.8.2 Setting and determining the current Type Level ..... 50
9.8.3 Identifying a Protection Information drive ..... 50

**10.0 Installation ..... 51**
10.1 Drive orientation ..... 51
10.2 Cooling ..... 52
10.3 Drive mounting ..... 53
10.4 Grounding ..... 53

**11.0 Interface requirements ..... 54**
11.1 SAS features ..... 54
11.1.1 task management functions ..... 54
11.1.2 task management responses ..... 54
11.2 Dual port support ..... 54
11.3 SCSI commands supported ..... 55
11.3.1 Inquiry data ..... 59
11.3.2 Mode Sense data ..... 60
11.4 Miscellaneous operating features and conditions ..... 65
11.4.1 SAS physical interface ..... 65
11.4.2 Physical characteristics ..... 68
11.4.3 Connector requirements ..... 68
11.4.4 Electrical description ..... 68
11.4.5 Pin descriptions ..... 68
11.4.6 SAS transmitters and receivers ..... 69
11.4.7 Power ..... 69
11.5 Signal characteristics ..... 69
11.5.1 Ready LED Out ..... 69
11.5.2 Differential signals ..... 70
11.6 SAS-2 Specification Compliance ..... 70
11.7 Additional information ..... 70

# Seagate® Technology Support Services

For information regarding online support and services, visit: http://www.seagate.com/contacts/

For information regarding Warranty Support, visit: http://www.seagate.com/support/warranty-and-replacements/

For information regarding data recovery services, visit: http://www.seagate.com/services-software/data-recovery-services/

For Seagate OEM, Distribution partner and reseller portals, visit: http://www.seagate.com/partners/

# 1.0 Scope

This manual describes Seagate® LLC, Constellation® ES.3 SAS (Serial Attached SCSI) disk drives.

Constellation ES.3 drives support the SAS Protocol specifications to the extent described in this manual. The *SAS Interface Manual* (part number 100293071) describes the general SAS characteristics of this and other Seagate SAS drives. The Self-Encrypting Drive Reference Manual, part number 100515636, describes the interface, general operation, and security features available on Self-Encrypting Drive models.

Product data communicated in this manual is specific only to the model numbers listed in this manual. The data listed in this manual may not be predictive of future generation specifications or requirements. If users are designing a system which will use one of the models listed or future generation products and need further assistance, please contact the Field Applications Engineer (FAE) or our global support services group as shown in See "Seagate® Technology Support Services" on page 5.

Unless otherwise stated, the information in this manual applies to standard and Self-Encrypting Drive models.

| Standard models | Self-Encrypting Drive (SED) | FIPS 140-2 Level 2 (Review Pending) |
|---|---|---|
| ST4000NM0023 | ST4000NM0043 | ST4000NM0063 |
| ST3000NM0023 | ST3000NM0043 | ST3000NM0063 |
| ST2000NM0023 | ST2000NM0043 | ST2000NM0063 |
| ST1000NM0023 | ST1000NM0043 | ST1000NM0063 |

| Note | Previous generations of Seagate Self-Encrypting Drive models were called Full Disk Encryption (FDE) models before a differentiation between drive-based encryption and other forms of encryption was necessary. |
|---|---|

| Note | The Self-Encrypting Drive models indicated on the cover of this product manual have provisions for "Security of Data at Rest" based on the standards defined by the Trusted Computing Group (see www.trustedcomputinggroup.org). |
|---|---|

For more information on FIPS 140-2 Level 2 certification see Section 7.0 on page 43.

# 2.0 Applicable standards and reference documentation

The drives documented in this manual have been developed as system peripherals to the highest standards of design and construction. The drives depends on host equipment to provide adequate power and environment for optimum performance and compliance with applicable industry and governmental regulations. Special attention must be given in the areas of safety, power distribution, shielding, audible noise control, and temperature regulation. In particular, the drive must be securely mounted to guarantee the specified performance characteristics. Mounting by bottom holes must meet the requirements of Section 10.3.

## 2.1 Standards

The Constellation ES.3 family complies with Seagate standards as noted in the appropriate sections of this manual and the Seagate *SAS Interface Manual*, part number 100293071.

The drives are recognized in accordance with UL 60950-1 as tested by UL, CSA 60950-1 as tested by CSA, and EN60950-1 as tested by TUV.

The security features of Self-Encrypting Drive models are based on the "TCG Storage Architecture Core Specification" and the "TCG Storage Workgroup Security Subsystem Class: Enterprise_A" specification with additional vendor-unique features as noted in this product manual.

### 2.1.1 Electromagnetic compatibility

The drive, as delivered, is designed for system integration and installation into a suitable enclosure prior to use. The drive is supplied as a subassembly and is not subject to Subpart B of Part 15 of the FCC Rules and Regulations nor the Radio Interference Regulations of the Canadian Department of Communications.

The design characteristics of the drive serve to minimize radiation when installed in an enclosure that provides reasonable shielding. The drive is capable of meeting the Class B limits of the FCC Rules and Regulations of the Canadian Department of Communications when properly packaged; however, it is the user's responsibility to assure that the drive meets the appropriate EMI requirements in their system. Shielded I/O cables may be required if the enclosure does not provide adequate shielding. If the I/O cables are external to the enclosure, shielded cables should be used, with the shields grounded to the enclosure and to the host controller.

#### 2.1.1.1 Electromagnetic susceptibility

As a component assembly, the drive is not required to meet any susceptibility performance requirements. It is the responsibility of those integrating the drive within their systems to perform those tests required and design their system to ensure that equipment operating in the same system as the drive or external to the system does not adversely affect the performance of the drive. See Table 2, DC power requirements.

### 2.1.2 Electromagnetic compliance

Seagate uses an independent laboratory to confirm compliance with the directives/standards for CE Marking and C-Tick Marking. The drive was tested in a representative system for typical applications. The selected system represents the most popular characteristics for test platforms. The system configurations include:

- Typical current use microprocessor
- Keyboard
- Monitor/display
- Printer
- Mouse

Although the test system with this Seagate model complies with the directives/standards, we cannot guarantee that all systems will comply. The computer manufacturer or system integrator shall confirm EMC compliance and provide the appropriate marking for their product.

**Electromagnetic compliance for the European Union**

If this model has the CE Marking it complies with the European Union requirements of the Electromagnetic Compatibility Directive 2004/108/EC as put into place on 20 July 2007.

**Korean RRA**

If these drives have the Korean Communications Commission (KCC) logo, they comply with paragraph 1 of Article 11 of the Electromagnetic Compatibility control Regulation and meet the Electromagnetic Compatibility (EMC) Framework requirements of the Radio Research Agency (RRA) Communications Commission, Republic of Korea.

These drives have been tested and comply with the Electromagnetic Interference/Electromagnetic Susceptibility (EMI/EMS) for Class B products. Drives are tested in a representative, end-user system by a Korean-recognized lab.

| | |
|---|---|
| B급 기기<br>(가정용 정보통신기기) | 이 기기는 가정용으로 전자파적합등록을한 기기로서 주거지역에서는 물론 모든지역에서 사용할 수 있습니다. |

**Australian RCM Compliance Mark**

If these models have the RCM marking, they comply with the Australia/New Zealand Standard AS/NZS CISPR32: 2015 and meet the Electromagnetic Compatibility (EMC) Framework requirements of the Australian Communication and Media Authority (ACMA).

**Taiwanese BSMI**

If this model has two Chinese words meaning "EMC certification" followed by an eight digit identification number, as a Marking, it complies with Chinese National Standard (CNS) 13438 and meets the Electromagnetic Compatibility (EMC) Framework requirements of the Taiwanese Bureau of Standards, Metrology, and Inspection (BSMI).

### 2.1.3 European Union Restriction of Hazardous Substances (RoHS)

The European Union Restriction of Hazardous Substances (RoHS) Directive, restricts the presence of chemical substances, including Lead, Cadmium, Mercury, Hexavalent Chromium, PBB and PBDE, in electronic products, effective July 2006. This drive is manufactured with components and materials that comply with the RoHS Directive.

A number of parts and materials in Seagate products are procured from external suppliers. We rely on the representations of our suppliers regarding the presence of RoHS substances in these parts and materials. Our supplier contracts require compliance with our chemical substance restrictions, and our suppliers document their compliance with our requirements by providing material content declarations for all parts and materials for the disk drives documented in this publication. Current supplier declarations include disclosure of the inclusion of any RoHS-regulated substance in such parts or materials.

Seagate also has internal systems in place to ensure ongoing compliance with the RoHS Directive and all laws and regulations which restrict chemical content in electronic products. These systems include standard operating procedures that ensure that restricted substances are not utilized in our manufacturing operations, laboratory analytical validation testing, and an internal auditing process to ensure that all standard operating procedures are complied with.

### 2.1.4 China Requirements — China RoHS 2

China RoHS 2 refers to the Ministry of Industry and Information Technology Order No. 32, effective July 1, 2016, titled Management Methods for the Restriction of the Use of Hazardous Substances in Electrical and Electronic Products. To comply with China RoHS 2, we determined this product's Environmental Protection Use Period (EPUP) to be 20 years in accordance with the *Marking for the Restricted Use of Hazardous Substances in Electronic and Electrical Products,* SJT 11364-2014.

## 中国电器电子产品有害物质限制使用管理办法

(Management Methods for the Restriction of the Use of Hazardous Substances in Electrical and Electronic Products _ China RoHS)




## 产品中有害物质的名称及含量

(Name and Content of the Hazardous Substances in Product)

**Table 1 Hazardous Substances**

| 部件名称 Part Name | 有害物质 Hazardous Substances | | | | | |
|---|---|---|---|---|---|---|
| | 铅 Lead (Pb) | 汞 Mercury (Hg) | 镉 Cadmium (Cd) | 六价铬 Hexavalent Chromium (CF (VI)) | 多溴联苯 Polybrominated biphenyls (PBB) | 多溴二苯醚 Polybrominated diphenyl ethers (PBDE) |
| 印刷电路板组装 PCBA | X | O | O | O | O | O |
| 机壳 Chassis | X | O | O | O | O | O |

本表格依据 SJ/T 11364 的规定编制。

This table is prepared in accordance with the provisions of SJ/T 11364-2014

O：表示该有害物质在该部件所有均质材料中的含量均在 GB/T 26572 规定的限量要求以下。

O: Indicates that the hazardous substance contained in all of the homogeneous materials for this part is below the limit requirement of GB/T26572.

X：表示该有害物质至少在该部件的某一均质材料中的含量超出 GB/T 26572 规定的限量要求。

X: Indicates that the hazardous substance contained in at least one of the homogeneous materials used for this part is above the limit requirement of GB/T26572.

## 2.2 Reference documents

*SAS Interface Manual*

Seagate part number: 100293071

*SCSI Commands Reference Manual*

Seagate part number: 100293068

ANSI SAS Documents

| | |
|---|---|
| SFF-8323 | 3.5" Drive Form Factor with Serial Connector |
| SFF-8460 | HSS Backplane Design Guidelines |
| SFF-8470 | Multi Lane Copper Connector |
| SFF-8482 | SAS Plug Connector |
| ANSI INCITS.xxx | Serial Attached SCSI (SAS-2) Standard (T10/1562-D) |
| ISO/IEC 14776-xxx | SCSI Architecture Model-3 (SAM-4) Standard (T10/1561-D) |
| ISO/IEC 14776-xxx | SCSI Primary Commands-3 (SPC-4) Standard (T10/1416-D) |
| ISO/IEC 14776-xxx | SCSI Block Commands-2 (SBC-3) Standard (T10/1417-D) |

ANSI Small Computer System Interface (SCSI) Documents

| | |
|---|---|
| X3.270-1996 | (SCSI-3) Architecture Model |

Trusted Computing Group (TCG) Documents (apply to Self-Encrypting Drive models only)

TCG Storage Architecture Core Specification, Rev. 1.0

TCG Storage Security Subsystem Class Enterprise Specification, Rev. 1.0

*Specification for Acoustic Test Requirement and Procedures*

Seagate part number: 30553-001

In case of conflict between this document and any referenced document, this document takes precedence.

# 3.0 General description

Constellation ES.3 drives provide high performance, high capacity data storage for a variety of systems including engineering workstations, network servers, mainframes, and supercomputers. The Serial Attached SCSI interface is designed to meet next-generation computing demands for performance, scalability, flexibility and high-density storage requirements.

Constellation ES.3 drives are random access storage devices designed to support the Serial Attached SCSI Protocol as described in the ANSI specifications, this document, and the *SAS Interface Manual* (part number 100293071) which describes the general interface characteristics of this drive. Constellation ES.3 drives are classified as intelligent peripherals and provide level 2 conformance (highest level) with the ANSI SCSI-1 standard. The SAS connectors, cables and electrical interface are compatible with Serial ATA (SATA), giving future users the choice of populating their systems with either SAS or SATA hard disk drives. This allows users to continue to leverage the existing investment in SCSI while gaining a 6Gb/s serial data transfer rate.

The Self-Encrypting Drive models indicated on the cover of this product manual have provisions for "Security of Data at Rest" based on the standards defined by the Trusted Computing Group (see www.trustedcomputinggroup.org).

The head and disk assembly (HDA) is sealed at the factory. Air recirculates within the HDA through a non-replaceable filter to maintain a contamination-free HDA environment.

| **Note** | Never disassemble the HDA and do not attempt to service items in the sealed enclosure (heads, media, actuator, etc.) as this requires special facilities. The drive does not contain user-replaceable parts. Opening the HDA for any reason voids the warranty. |
|---|---|

Constellation ES.3 drives use a dedicated load/unload zone at the outermost radius of the media to eliminate the possibility of destroying or degrading data by landing in the data zone. The heads automatically go to the ramp load/unload when power is removed from the drive.

An automatic shipping lock prevents potential damage to the heads and discs that results from movement during shipping and handling. The shipping lock disengages and the head load process begins when power is applied to the drive.

Constellation ES.3 drives decode track 0 location data from the servo data embedded on each surface to eliminate mechanical transducer adjustments and related reliability concerns.

The drives also use a high-performance actuator assembly with a low-inertia, balanced, patented, straight arm design that provides excellent performance with minimal power dissipation.

| **Note** | Seagate recommends validating the configuration with the selected HBA/RAID controller manufacturer to ensure full 3TB and 4TB capacity capabilities. |
|---|---|

## 3.1 Standard features

Constellation ES.3 drives have the following standard features:
- 1.5 / 3.0 / 6.0 Gb Serial Attached SCSI (SAS) interface
- 128 - deep task set (queue)
- 128 MB data buffer (see Section 4.4).
- Background Media Scan (BMS)
- Dedicated head load/unload zone
- Drive Self Test (DST)
- ECC maximum burst correction length of 400 bits
- Embedded servo design
- Firmware downloadable using the SAS interface
- Flawed logical block reallocation at format time
- Idle Read After Write (IRAW)
- Industry standard 3.5-in dimensions
- Integrated dual port SAS controller supporting the SCSI protocol
- Jumperless configuration.
- No preventive maintenance or adjustments required
- Perpendicular recording technology
- Power Save
- Programmable auto write and read reallocation
- Programmable logical block reallocation scheme
- Reallocation of defects on command (Post Format)
- Seagate RAID Rebuild™
- Self diagnostics performed when power is applied to the drive
- Support for SAS expanders and fanout adapters
- Supports up to 32 initiators
- User-selectable logical block size (512, 520 or 528 bytes per logical block).
- Vertical, horizontal, or top down mounting.

Constellation ES.3 SAS Self-Encrypting Drive models have the following additional features:
- 16 independent data bands
- Authenticated firmware download
- Automatic data encryption/decryption
- Controlled access
- Cryptographic erase of user data for a drive that will be repurposed or scrapped
- Drive locking
- Random number generator

## 3.2 Media description

The media used on the drive has a aluminum substrate coated with a thin film magnetic material, overcoated with a proprietary protective layer for improved durability and environmental protection.

## 3.3 Performance
- 600MB/s maximum instantaneous data transfers.
- 7200 RPM spindle. Average latency = 4.16ms
- Adaptive seek velocity; improved seek performance
- Background processing of queue
- Programmable multi-segmentable cache buffer
- Supports start and stop commands (spindle stops spinning)

| Note | There is no significant performance difference between Self-Encrypting Drive and standard (non-Self-Encrypting Drive) models. |
|---|---|

## 3.4 Reliability

- 5-year warranty
- Annualized Failure Rate (AFR) of 0.44%
- Balanced low mass rotary voice coil actuator
- Incorporates industry-standard Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T.)
- Mean time between failures (MTBF) of 2,000,000 hours

## 3.5 Formatted capacities

Standard OEM models are formatted to 512 bytes per block. The block size is selectable at format time and must be a multiple of 4 bytes. Users having the necessary equipment may modify the data block size before issuing a format command and obtain different formatted capacities than those listed.

To provide a stable target capacity environment and at the same time provide users with flexibility if they choose, Seagate recommends product planning in one of two modes:

1. Seagate designs specify capacity points at certain block sizes that Seagate guarantees current and future products will meet. We recommend customers use this capacity in their project planning, as it ensures a stable operating point with backward and forward compatibility from generation to generation. The current guaranteed operating points for this product are

| Sector Size | 4TB models | | 3TB models | | 2TB models | | 1TB models | |
|---|---|---|---|---|---|---|---|---|
| | Decimal | Hex | Decimal | Hex | Decimal | Hex | Decimal | Hex |
| **512** | 7,814,037,168 | 1D1C0BEB0 | 5,860,533,168 | 15D50A3B0 | 3,907,029,168 | E8E088B0 | 1,953,525,168 | 74706DB0 |
| **520** | 7,648,717,976 | 1C7E62C98 | 5,736,538,480 | 155ECA170 | 3,824,358,992 | E3F31650 | 1,923,076,936 | 729FD348 |
| **528** | 7,438,330,376 | 1BB5BEA08 | 5,578,747,784 | 14C84EF88 | 3,719,165,192 | DDADF508 | 1,876,331,336 | 6FD68B48 |

## 3.6 Programmable drive capacity

Using the Mode Select command, the drive can change its capacity to something less than maximum. See the Mode Select (6) parameter list table in the *SAS Interface Manual*, part number 100293071. A value of zero in the Number of Blocks field indicates that the drive will not change the capacity it is currently formatted to have. A number other than zero and less than the maximum number of LBAs in the Number of Blocks field changes the total drive capacity to the value in the Number of Blocks field. A value greater than the maximum number of LBAs is rounded down to the maximum capacity.

## 3.7 Factory-installed options

Users may order the following items which are incorporated at the manufacturing facility during production or packaged before shipping. Some of the options available are (not an exhaustive list of possible options):

- Other capacities can be ordered depending on sparing scheme and sector size requested.
- Single-unit shipping pack. The drive is normally shipped in bulk packaging to provide maximum protection against transit damage. Units shipped individually require additional protection as provided by the single unit shipping pack. Users planning single unit distribution should specify this option.
- The *Safety and Regulatory Agency Specifications*, part number 75789512, is usually included with each standard OEM drive shipped, but extra copies may be ordered.

# 4.0 Performance characteristics

This section provides detailed information concerning performance-related characteristics and features of Constellation ES.3 drives.

## 4.1 Internal drive characteristics

| | ST4000NM0023<br>ST4000NM0043<br>ST4000NM0063 | ST3000NM0023<br>ST3000NM0043<br>ST3000NM0063 | ST2000NM0023<br>ST2000NM0043<br>ST2000NM0063 | ST1000NM0023<br>ST1000NM0043<br>ST1000NM0063 | |
|---|---|---|---|---|---|
| Drive capacity | 4TB | 3TB | 2TB | 1TB | (fomatted, rounded off value) |
| Read/write data heads | 10 | 8 | 5 | 3 | |
| Bytes/track | 1,668,096 | | | | Bytes (average, rounded off values) |
| Bytes/surface | 400,000 | | | | MB (unfomatted, rounded off values) |
| Tracks/surface (total) | 320,800 | | | | Tracks (user accessible) |
| Tracks/in | 305,000 | | | | TPI (average) |
| Peak bits/in | 1,904,000 | | | | BPI |
| Areal density | 578 | | | | Gb/in2 |
| Internal data rate | 2210 | | | | Mb/s (max) |
| Disk rotation speed | 7200 | | | | RPM |
| Avg rotational latency | 4.16 | | | | ms |

### 4.1.1 Format command execution time for 512-byte sectors (minutes)

| | 4TB models | 3TB models | 2TB models | 1TB models |
|---|---|---|---|---|
| Maximum (with verify) | 917 | 693 | 456 | 263 |
| Maximum (without verify) | 455 | 347 | 228 | 132 |

Execution time measured from receipt of the last byte of the Command Descriptor Block (CDB) to the request for a Status Byte Transfer to the Initiator (excluding connect/disconnect).

When changing sector sizes, the format times shown above may need to be increased by 30 minutes.

### 4.1.2 General performance characteristics

| | |
|---|---|
| Minimum sector interleave | 1 to 1 |
| Maximum Internal data rate* | 2.21 Gb/s |
| Sustained transfer rate | 83 to 175 MB/s ** |
| SAS Interface maximum instantaneous transfer rate | 600MB/s* per port<br>(dual port = 1200MB/s*) |
| Logical block sizes<br>512 (default), 520 or 528. | |
| Read/write consecutive sectors on a track | Yes |
| Flaw reallocation performance impact<br>(for flaws reallocated at format time using the spare sectors per sparing zone reallocation scheme.) | Negligible |
| Average rotational latency | 4.16ms |

*Assumes no errors and no relocated logical blocks. Rate measured from the start of the first logical block transfer to or from the host.

## 4.2 Start/stop time

The drive accepts the commands listed in the SAS Interface Manual less than 3 seconds after DC power has been applied.

If the drive receives a NOTIFY (ENABLE SPINUP) primitive through either port and has not received a START STOP UNIT command with the START bit equal to 0, the drive becomes ready for normal operations within 30 seconds (excluding the error recovery procedure).

If the drive receives a START STOP UNIT command with the START bit equal to 0 before receiving a NOTIFY (ENABLE SPINUP) primitive, the drive waits for a START STOP UNIT command with the START bit equal to 1. After receiving a START STOP UNIT command with the START bit equal to 1, the drive waits for a NOTIFY (ENABLE SPINUP) primitive. After receiving a NOTIFY (ENABLE SPINUP) primitive through either port, the drive becomes ready for normal operations within 30 seconds (excluding the error recovery procedure).

If the drive receives a START STOP UNIT command with the START bit and IMMED bit equal to 1 and does not receive a NOTIFY (ENABLE SPINUP) primitive within 5 seconds, the drive fails the START STOP UNIT command.

The START STOP UNIT command may be used to command the drive to stop the spindle. Stop time is 23 seconds (maximum) from removal of DC power. SCSI stop time is 23 seconds. There is no power control switch on the drive.

## 4.3 Prefetch/multi-segmented cache control

The drive provides a prefetch (read look-ahead) and multi-segmented cache control algorithms that in many cases can enhance system performance. Cache refers to the drive buffer storage space when it is used in cache operations. To select this feature, the host sends the Mode Select command with the proper values in the applicable bytes in page 08h. Prefetch and cache operations are independent features from the standpoint that each is enabled and disabled independently using the Mode Select command; however, in actual operation, the prefetch feature overlaps cache operation somewhat as described in sections 4.4.1 and 4.4.2.

All default cache and prefetch mode parameter values (Mode Page 08h) for standard OEM versions of this drive family are given in Table 11.

## 4.4 Cache operation

| **Note** | Refer to the *SAS Interface Manual* for more detail concerning the cache bits. |
|---|---|

Of the 128MB physical buffer space in the drive, approximately (60,000) KB can be used as a cache. The buffer is divided into logical segments from which data is read and to which data is written.

The drive keeps track of the logical block addresses of the data stored in each segment of the buffer. If the cache is enabled (see RCD bit in the *SAS Interface Manual*), data requested by the host with a read command is retrieved from the buffer, if possible, before any disk access is initiated. If cache operation is not enabled, the buffer is still used, but only as circular buffer segments during disk medium read operations (disregarding Prefetch operation for the moment). That is, the drive does not check in the buffer segments for the requested read data, but goes directly to the medium to retrieve it. The retrieved data merely passes through some buffer segment on the way to the host. All data transfers to the host are in accordance with buffer-full ratio rules. See the explanation provided with the information about Mode Page 02h (disconnect/reconnect control) in the *SAS Interface Manual*.

The following is a simplified description of the prefetch/cache operation:

**Case A**—read command is received and all of the requested logical blocks are already in the cache:

1. Drive transfers the requested logical blocks to the initiator.

**Case B**—A Read command requests data, and at least one requested logical block is not in any segment of the cache:

1. The drive fetches the requested logical blocks from the disk and transfers them into a segment, and then from there to the host in accordance with the Mode Select Disconnect/Reconnect parameters, page 02h.
2. If the prefetch feature is enabled, refer to section 4.4.2 for operation from this point.

Each cache segment is actually a self-contained circular buffer whose length is an integer number of logical blocks. The drive dynamically creates and removes segments based on the workload. The wrap-around capability of the individual segments greatly enhances the cache's overall performance.

The size of each segment is not reported by Mode Sense command page 08h, bytes 14 and 15. The value 0XFFFF is always reported regardless of the actual size of the segment. Sending a size specification using the Mode Select command (bytes 14 and 15) does not set up a new segment size. If the STRICT bit in Mode page 00h (byte 2, bit 1) is set to one, the drive responds as it does for any attempt to change an unchangeable parameter.

### 4.4.1 Caching write data

Write caching is a write operation by the drive that makes use of a drive buffer storage area where the data to be written to the medium is stored while the drive performs the Write command.

If read caching is enabled (RCD=0), then data written to the medium is retained in the cache to be made available for future read cache hits. The same buffer space and segmentation is used as set up for read functions. The buffer segmentation scheme is set up or changed independently, having nothing to do with the state of RCD. When a write command is issued, if RCD=0, the cache is first checked to see if any logical blocks that are to be written are already stored in the cache from a previous read or write command. If there are, the respective cache segments are cleared. The new data is cached for subsequent Read commands.

If the number of write data logical blocks exceed the size of the segment being written into, when the end of the segment is reached, the data is written into the beginning of the same cache segment, overwriting the data that was written there at the beginning of the operation; however, the drive does not overwrite data that has not yet been written to the medium.

If write caching is enabled (WCE=1), then the drive may return Good status on a write command after the data has been transferred into the cache, but before the data has been written to the medium. If an error occurs while writing the data to the medium, and Good status has already been returned, a deferred error will be generated.

The Synchronize Cache command may be used to force the drive to write all cached write data to the medium. Upon completion of a Synchronize Cache command, all data received from previous write commands will have been written to the medium. Table 11 shows the mode default settings for the drive.

### 4.4.2 Prefetch operation

If the Prefetch feature is enabled, data in contiguous logical blocks on the disk immediately beyond that which was requested by a Read command are retrieved and stored in the buffer for immediate transfer from the buffer to the host on subsequent Read commands that request those logical blocks (this is true even if cache operation is disabled). Though the prefetch operation uses the buffer as a cache, finding the requested data in the buffer is a prefetch hit, not a cache operation hit.

To enable Prefetch, use Mode Select page 08h, byte 12, bit 5 (Disable Read Ahead - DRA bit). DRA bit = 0 enables prefetch.

The drive does not use the Max Prefetch field (bytes 8 and 9) or the Prefetch Ceiling field (bytes 10 and 11).

When prefetch (read look-ahead) is enabled (enabled by DRA = 0), the drive enables prefetch of contiguous blocks from the disk when it senses that a prefetch hit will likely occur. The drive disables prefetch when it decides that a prefetch hit is not likely to occur.

# 5.0 Reliability specifications

The following reliability specifications assume correct host and drive operational interface, including all interface timings, power supply voltages, environmental requirements and drive mounting constraints.

| | |
|---|---|
| Seek error rate: | Less than 10 errors in $10^8$ seeks |
| Read Error Rates[1] | |
| Recovered Data | Less than 10 errors in $10^{12}$ bits transferred (OEM default settings) |
| Unrecovered Data | Less than 1 sector in $10^{15}$ bits transferred |
| Miscorrected Data | Less than 1 sector in $10^{21}$ bits transferred |
| Interface error rate: | Less than 1 error in $10^{12}$ bits transferred |
| Mean Time Between Failure (MTBF): | 2,000,000 hours |
| Annualized Failure Rate (AFR): | 0.44% |
| Preventive maintenance: | None required |

1. Error rate specified with automatic retries and data correction with ECC enabled and all flaws reallocated.

## 5.1 Error rates

The error rates stated in this manual assume the following:

- The drive is operated in accordance with this manual using DC power as defined in paragraph 6.3, "DC power requirements."
- Errors caused by host system failures are excluded from error rate computations.
- Assume random data.
- Default OEM error recovery settings are applied. This includes AWRE, ARRE, full read retries, full write retries and full retry time.

### 5.1.1 Recoverable Errors

Recoverable errors are those detected and corrected by the drive, and do not require user intervention.

Recoverable Data errors will use correction, although ECC on-the-fly is not considered for purposes of recovered error specifications.

Recovered Data error rate is determined using read bits transferred for recoverable errors occurring during a read, and using write bits transferred for recoverable errors occurring during a write.

### 5.1.2 Unrecoverable Errors

An unrecoverable data error is defined as a failure of the drive to recover data from the media. These errors occur due to head/media or write problems. Unrecoverable data errors are only detected during read operations, but not caused by the read. If an unrecoverable data error is detected, a MEDIUM ERROR (03h) in the Sense Key will be reported. Multiple unrecoverable data errors resulting from the same cause are treated as 1 error.

### 5.1.3 Seek errors

A seek error is defined as a failure of the drive to position the heads to the addressed track. After detecting an initial seek error, the drive automatically performs an error recovery process. If the error recovery process fails, a seek positioning error (Error code = 15h or 02h) will be reported with a Hardware error (04h) in the Sense Key. Recoverable seek errors are specified at Less than 10 errors in $10^8$ seeks. Unrecoverable seek errors (Sense Key = 04h) are classified as drive failures.

### 5.1.4 Interface errors

An interface error is defined as a failure of the receiver on a port to recover the data as transmitted by the device port connected to the receiver. The error may be detected as a running disparity error, illegal code, loss of word sync, or CRC error.

## 5.2 Reliability and service

Users can enhance the reliability of Constellation ES.3 disk drives by ensuring that the drive receives adequate cooling. Section 6.0 provides temperature measurements and other information that may be used to enhance the service life of the drive. Section 10.2 provides recommended air-flow information.

### 5.2.1 Annualized Failure Rate (AFR) and Mean Time Between Failure (MTBF)

The production disk drive shall achieve an annualized failure-rate of 0.44% (MTBF of 2,000,000 hours) over a 5 year service life when used in Enterprise Storage field conditions as limited by the following:

- 8760 power-on hours per year.
- HDA temperature as reported by the drive <= 40°C
- Ambient wet bulb temp <= 26°C
- Typical workload
- The AFR (MTBF) is a population statistic not relevant to individual units
- ANSI/ISA S71.04-2013 G2 classification levels and dust contamination to ISO 14644-1 Class 8 standards (as measured at the device)

The MTBF specification for the drive assumes the operating environment is designed to maintain nominal drive temperature and humidity. Occasional excursions in operating conditions between the rated MTBF conditions and the maximum drive operating conditions may occur without significant impact to the rated MTBF. However continual or sustained operation beyond the rated MTBF conditions will degrade the drive MTBF and reduce product reliability.

| | |
|---|---|
| Nonrecoverable read errors | 1 per $10^{15}$ bits read, max |
| Load unload cycles | 600,000 cycles |
| Maximum Rated Workload | Maximum rate of <550TB/year<br>Workloads exceeding the annualized rate may degrade the drive MTBF and impact product reliability. The Annualized Workload Rate is in units of TB per year, or TB per 8760 power on hours. Workload Rate = TB transferred * (8760 / recorded power on hours). |
| Warranty | To determine the warranty for a specific drive, use a web browser to access the following web page: http://www.seagate.com/support/warranty-and-replacements/.<br>From this page, click on the "Is my Drive under Warranty" link. The following are required to be provided: the drive serial number, model number (or part number) and country of purchase. The system will display the warranty information for the drive. |
| Preventive maintenance | None required. |

### 5.2.2 Hot plugging the drive

When a disk is powered on by switching the power or hot plugged, the drive runs a self test before attempting to communicate on its' interfaces. When the self test completes successfully, the drive initiates a Link Reset starting with OOB. An attached device should respond to the link reset. If the link reset attempt fails, or any time the drive looses sync, the drive initiated link reset. The drive will initiate link reset once per second but alternates between port A and B. Therefore each port will attempt a link reset once per 2 seconds assuming both ports are out of sync.

If the self-test fails, the drive does not respond to link reset on the failing port.

It is the responsibility of the systems integrator to assure that no temperature, energy, voltage hazard, or ESD potential hazard is presented during the hot connect/disconnect operation. Discharge the static electricity from the drive carrier prior to inserting it into the system.

| | |
|---|---|
| **Caution** | The drive motor must come to a complete stop prior to changing the plane of operation. This time is required to insure data integrity. |

### 5.2.3 S.M.A.R.T.

S.M.A.R.T. is an acronym for Self-Monitoring Analysis and Reporting Technology. This technology is intended to recognize conditions that indicate imminent drive failure and is designed to provide sufficient warning of a failure to allow users to back up the data before an actual failure occurs.

| Note | The drive's firmware monitors specific attributes for degradation over time but can't predict instantaneous drive failures. |
|---|---|

Each monitored attribute has been selected to monitor a specific set of failure conditions in the operating performance of the drive and the thresholds are optimized to minimize "false" and "failed" predictions.

**Controlling S.M.A.R.T.**

The operating mode of S.M.A.R.T. is controlled by the DEXCPT and PERF bits on the Informational Exceptions Control mode page (1Ch). Use the DEXCPT bit to enable or disable the S.M.A.R.T. feature. Setting the DEXCPT bit disables all S.M.A.R.T. functions. When enabled, S.M.A.R.T. collects on-line data as the drive performs normal read and write operations. When the PERF bit is set, the drive is considered to be in "On-line Mode Only" and will not perform off-line functions.

Users can measure off-line attributes and force the drive to save the data by using the Rezero Unit command. Forcing S.M.A.R.T. resets the timer so that the next scheduled interrupt is in one hour.

Users can interrogate the drive through the host to determine the time remaining before the next scheduled measurement and data logging process occurs. To accomplish this, issue a Log Sense command to log page 0x3E. This allows users to control when S.M.A.R.T. interruptions occur. Forcing S.M.A.R.T. with the RTZ command resets the timer.

**Performance impact**

S.M.A.R.T. attribute data is saved to the disk so that the events that caused a predictive failure can be recreated. The drive measures and saves parameters once every one hour subject to an idle period on the drive interfaces. The process of measuring off-line attribute data and saving data to the disk is interruptable. The maximum on-line only processing delay is summarized below:

**Maximum processing delay**

| | **Fully-enabled delay DEXCPT = 0** |
|---|---|
| S.M.A.R.T. delay times | 75 ms |

**Reporting control**

Reporting is controlled by the MRIE bits in the Informational Exceptions Control mode page (1Ch). An example, if the MRIE is set to one, the firmware will issue to the host an 01-5D00 sense code. The FRU field contains the type of predictive failure that occurred. The error code is preserved through bus resets and power cycles.

**Determining rate**

S.M.A.R.T. monitors the rate at which errors occur and signals a predictive failure if the rate of degraded errors increases to an unacceptable level. To determine rate, error events are logged and compared to the number of total operations for a given attribute. The interval defines the number of operations over which to measure the rate. The counter that keeps track of the current number of operations is referred to as the Interval Counter.

S.M.A.R.T. measures error rates. All errors for each monitored attribute are recorded. A counter keeps track of the number of errors for the current interval. This counter is referred to as the Failure Counter.

Error rate is the number of errors per operation. The algorithm that S.M.A.R.T. uses to record rates of error is to set thresholds for the number of errors and their interval. If the number of errors exceeds the threshold before the interval expires, the error rate is considered to be unacceptable. If the number of errors does not exceed the threshold before the interval expires, the error rate is considered to be acceptable. In either case, the interval and failure counters are reset and the process starts over.

**Predictive failures**

S.M.A.R.T. signals predictive failures when the drive is performing unacceptably for a period of time. The firmware keeps a running count of the number of times the error rate for each attribute is unacceptable. To accomplish this, a counter is incremented each time the error rate is unacceptable and decremented (not to exceed zero) whenever the error rate is acceptable. If the counter continually increments such that it reaches the predictive threshold, a predictive failure is signaled. This counter is referred to as the Failure History Counter. There is a separate Failure History Counter for each attribute.

### 5.2.4 Thermal monitor

Constellation ES.3 drives implement a temperature warning system which:

1. Signals the host if the temperature exceeds a value which would threaten the drive.
2. Signals the host if the temperature exceeds a user-specified value.
3. Saves a S.M.A.R.T. data frame on the drive which exceeds the threatening temperature value.

A temperature sensor monitors the drive temperature and issues a warning over the interface when the temperature exceeds a set threshold. The temperature is measured at power-up and then at ten-minute intervals after power-up.

The thermal monitor system generates a warning code of 01-0B01 when the temperature exceeds the specified limit in compliance with the SCSI standard. The drive temperature is reported in the FRU code field of mode sense data. Users can use this information to determine if the warning is due to the temperature exceeding the drive threatening temperature or the user-specified temperature.

This feature is controlled by the Enable Warning (EWasc) bit, and the reporting mechanism is controlled by the Method of Reporting Informational Exceptions field (MRIE) on the Informational Exceptions Control (IEC) mode page (1Ch).

The current algorithm implements two temperature trip points. The first trip point is set at 65°C which is the maximum temperature limit according to the drive specification. The second trip point is user-selectable using the Log Select command. The reference temperature parameter in the temperature log page (see Table 1) can be used to set this trip point. The default value for this drive is 65°C, however, users can set it to any value in the range of 0 to 65°C. If users specify a temperature greater than 65°C in this field, the temperature is rounded down to 65°C. A sense code is sent to the host to indicate the rounding of the parameter field.

**Table 1: Temperature Log Page (0Dh)**

| Parameter Code | Description |
|---|---|
| 0000h | Primary Temperature |
| 0001h | Reference Temperature |

### 5.2.5 Drive Self Test (DST)

Drive Self Test (DST) is a technology designed to recognize drive fault conditions that qualify the drive as a failed unit. DST validates the functionality of the drive at a system level.

There are two test coverage options implemented in DST:

1. Extended test
2. Short test

The most thorough option is the extended test that performs various tests on the drive and scans every logical block address (LBA) of the drive. The short test is time-restricted and limited in length—it does not scan the entire media surface, but does some fundamental tests and scans portions of the media.

If DST encounters an error during either of these tests, it reports a fault condition. If the drive fails the test, remove it from service and return it to Seagate for service.

#### 5.2.5.1 DST failure definition

The drive will present a "diagnostic failed" condition through the self-tests results value of the diagnostic log page if a functional failure is encountered during DST. The channel and servo parameters are not modified to test the drive more stringently, and the number of retries are not reduced. All retries and recovery processes are enabled during the test. If data is recoverable, no failure condition will be reported regardless of the number of retries required to recover the data.

The following conditions are considered DST failure conditions:

- Seek error after retries are exhausted
- Track-follow error after retries are exhausted
- Read error after retries are exhausted
- Write error after retries are exhausted

Recovered errors will not be reported as diagnostic failures.

#### 5.2.5.2 Implementation

This section provides all of the information necessary to implement the DST function on this drive.

##### 5.2.5.2.1 State of the drive prior to testing

The drive must be in a ready state before issuing the Send Diagnostic command. There are multiple reasons why a drive may not be ready, some of which are valid conditions, and not errors. For example, a drive may be in process of doing a format, or another DST. It is the responsibility of the host application to determine the "not ready" cause.

While not technically part of DST, a Not Ready condition also qualifies the drive to be returned to Seagate as a failed drive.

A Drive Not Ready condition is reported by the drive under the following conditions:

- Motor will not spin
- Motor will not lock to speed
- Servo will not lock on track
- Drive cannot read configuration tables from the disk

In these conditions, the drive responds to a Test Unit Ready command with an 02/04/00 or 02/04/03 code.

##### 5.2.5.2.2 Invoking DST

To invoke DST, submit the Send Diagnostic command with the appropriate Function Code (001b for the short test or 010b for the extended test) in bytes 1, bits 5, 6, and 7.

##### 5.2.5.2.3 Short and extended tests

DST has two testing options:

1. short
2. extended

These testing options are described in the following two subsections.

Each test consists of three segments: an electrical test segment, a servo test segment, and a read/verify scan segment.

**Short test (Function Code: 001b)**

The purpose of the short test is to provide a time-limited test that tests as much of the drive as possible within 120 seconds. The short test does not scan the entire media surface, but does some fundamental tests and scans portions of the media. A complete read/verify scan is not performed and only factual failures will report a fault condition. This option provides a quick confidence test of the drive.

**Extended test (Function Code: 010b)**

The objective of the extended test option is to empirically test critical drive components. For example, the seek tests and on-track operations test the positioning mechanism. The read operation tests the read head element and the media surface. The write element is tested through read/write/read operations. The integrity of the media is checked through a read/verify scan of the media. Motor functionality is tested by default as a part of these tests.

The anticipated length of the Extended test is reported through the Control Mode page.

#### 5.2.5.2.4 Log page entries

When the drive begins DST, it creates a new entry in the Self-test Results Log page. The new entry is created by inserting a new self-test parameter block at the beginning of the self-test results log parameter section of the log page. Existing data will be moved to make room for the new parameter block. The drive reports 20 parameter blocks in the log page. If there are more than 20 parameter blocks, the least recent parameter block will be deleted. The new parameter block will be initialized as follows:

1. The Function Code field is set to the same value as sent in the DST command
2. The Self-Test Results Value field is set to Fh
3. The drive will store the log page to non-volatile memory

After a self-test is complete or has been aborted, the drive updates the Self-Test Results Value field in its Self-Test Results Log page in non-volatile memory. The host may use Log Sense to read the results from up to the last 20 self-tests performed by the drive. The self-test results value is a 4-bit field that reports the results of the test. If the field is set to zero, the drive passed with no errors detected by the DST. If the field is not set to zero, the test failed for the reason reported in the field.

The drive will report the failure condition and LBA (if applicable) in the Self-test Results Log parameter. The Sense key, ASC, ASCQ, and FRU are used to report the failure condition.

#### 5.2.5.2.5 Abort

There are several ways to abort a diagnostic. Users can use a SCSI Bus Reset or a Bus Device Reset message to abort the diagnostic.

Users can abort a DST executing in background mode by using the abort code in the DST Function Code field. This will cause a 01 (self-test aborted by the application client) code to appear in the self-test results values log. All other abort mechanisms will be reported as a 02 (self-test routine was interrupted by a reset condition).

### 5.2.6 Product warranty

See "Seagate® Technology Support Services" on page 5 for warranty contact information.

**Shipping**

When transporting or shipping a drive, use only a Seagate-approved container. Keep the original box. Seagate approved containers are easily identified by the Seagate Approved Package label. Shipping a drive in a non-approved container voids the drive warranty.

Seagate repair centers may refuse receipt of components improperly packaged or obviously damaged in transit. Contact the authorized Seagate distributor to purchase additional boxes. Seagate recommends shipping by an air-ride carrier experienced in handling computer equipment.

**Storage**

Maximum storage periods are 180 days within original unopened Seagate shipping package or 60 days unpackaged within the defined non-operating limits (refer to environmental section in this manual). Storage can be extended to 1 year packaged or unpackaged under optimal environmental conditions (25°C, <40% relative humidity non-condensing, and non-corrosive environment). During any storage period the drive non-operational temperature, humidity, wet bulb, atmospheric conditions, shock, vibration, magnetic and electrical field specifications should be followed.

**Product repair and return information**

Seagate customer service centers are the only facilities authorized to service Seagate drives. Seagate does not sanction any third-party repair facilities. Any unauthorized repair or tampering with the factory seal voids the warranty.

# 6.0 Physical/electrical specifications

This section provides information relating to the physical and electrical characteristics of the drive.

## 6.1 PowerChoice™ power management

Drives using the load/unload architecture provide programmable power management to tailor systems for performance and greater energy efficiency.

The table below lists the supported PowerChoice modes. The further users go down in the table, the more power savings users get. For example, Idle_B mode results in greater power savings than Idle_A mode. Standby_Z mode results in the greatest power savings.

**PowerChoice modes**

| Mode | Description |
|---|---|
| Idle_A | Reduced electronics |
| Idle_B | Heads unloaded. Disks spinning at full RPM |
| Idle_C | Heads unloaded. Disks spinning at reduced RPM |
| Standby_Y | Heads unloaded. Disks spinning at reduced RPM.<br>Recovery requires the NOTIFY (Enable Spinup) command. |
| Standby_Z | Heads unloaded. Motor stopped (disks not spinning)<br>Recovery requires the NOTIFY (Enable Spinup) command. |

PowerChoice™ can be invoked using one of these two methods:

- Power Condition mode page method—Enable and initialize the idle condition timers and/or the standby condition timers. The timer values are based on the values set in the Power Condition mode page.
- START STOP UNIT command method—Use the START STOP UNIT command (OPERATION CODE 1Bh). This allows the host to directly transition the drive to any supported PowerChoice mode.

If both the Power Condition mode page and START STOP UNIT command methods are used, the START STOP UNIT command request takes precedence over the Power Condition mode page power control and may disable the idle condition and standby condition timers. The REQUEST SENSE command reports the current PowerChoice state if active and also the method by which the drive entered the PowerChoice state.

When the drive receives a command, all power condition timers are suspended if they were enabled via the Power Condition mode page. Once all outstanding commands are processed, the power condition timers are reinitialized to the values defined in the Power Condition mode page

### 6.1.1 PowerChoice reporting methods

PowerChoice™ provides these reporting methods for tracking purposes:

**Request Sense command reports**

- Current power condition
- Method of entry

| Note | Processing the Request Sense command does not impact the drive's power save state. |
|---|---|

**Mode Sense command reports (mode page 0x1A)**

- Idle conditions enabled / disabled
- Idle condition timer values (100ms increments) (default, saved, current, changeable)

**Power Condition Vital Product Data (VPD) Page (VPD page 0x8A)**

- Supported power conditions
- Typical recovery time from power conditions (1ms increments)

**Start/Stop Cycle Counter Log Page reports (log page 0x0E)**

- Specified and accumulated Start/Stops and Load/Unload cycles

**Power Condition Transitions Log Page reports (log page 0x1A, subpage 0x00)**

- Accumulated transitions to Active, Idle_A, Idle_B, Idle_C, Standby_Y, Standby_Z

## 6.2 AC power requirements

None.

## 6.3 DC power requirements

The voltage and current requirements for a single drive are shown below. Values indicated apply at the drive connector.

The standard drive models and the SED drive models have identical hardware, however the security and encryption portion of the drive controller ASIC is enabled and functional in the SED models. This represents a small additional drain on the 5V supply of about 30mA and a commensurate increase of about 150mW in power consumption. There is no additional drain on the 12V supply.

***Table 2 4TB drive DC power requirements***

| | | Notes | 3.0Gb mode | | 6.0Gb mode | |
|---|---|---|---|---|---|---|
| | | | (Amps) | (Amps) | (Amps) | (Amps) |
| **Voltage** | | | **+5V** | **+12V [2]** | **+5V** | **+12V [2]** |
| **Regulation** | | [5] | **± 5% [2]** | | **± 5% [2]** | |
| Avg idle current DCX | | [1] [7] | 0.40 | 0.51 | 0.37 | 0.51 |
| Advanced idle current | | | | | | |
| Idle A | 3s | | 0.35 | 0.51 | 0.36 | 0.50 |
| Idle B | 3s | | 0.25 | 0.44 | 0.28 | 0.43 |
| Idle C | 3s | | 0.25 | 0.25 | 0.25 | 0.25 |
| Standby | 3s | | 0.24 | 0.01 | 0.24 | 0.00 |
| Maximum starting current | | | | | | |
| (peak DC) DC | 3s | [3] | 0.63 | 2.16 | 0.63 | 2.16 |
| (peak AC) AC | 3s | [3] | 0.81 | 2.74 | 0.83 | 2.69 |
| Delayed motor start (max) DC | 3s | [1] [4] | 0.28 | 0.01 | 0.26 | 0.01 |
| Operating current (random read): | | | | | | |
| Typical DCX | | [1] [6] | 0.50 | 0.78 | 0.50 | 0.78 |
| Maximum DC | 3s | [1] | 0.75 | 0.79 | 0.72 | 0.80 |
| Maximum (peak) DC | 3s | | 1.75 | 2.37 | 1.78 | 2.36 |
| Operating current (random write) | | | | | | |
| Typical DCX | | [1] | 0.51 | 0.69 | 0.52 | 0.68 |
| Maximum DC | 3s | [1] | 0.76 | 0.71 | 0.80 | 0.71 |
| Maximum (peak) DC | 3s | | 1.13 | 2.29 | 1.13 | 2.50 |
| Operating current (sequential read) | | | | | | |
| Typical DCX | | [1] | 0.83 | 0.55 | 0.82 | 0.55 |
| Maximum DC | 3s | [1] | 1.03 | 0.58 | 1.07 | 0.58 |
| Maximum (peak) DC | 3s | | 1.27 | 0.96 | 1.30 | 0.93 |
| Operating current (sequential write) | | | | | | |
| Typical DCX | | [1] | 0.66 | 0.60 | 0.67 | 0.59 |
| Maximum DC | 3s | [1] | 0.69 | 0.62 | 0.69 | 0.63 |
| Maximum (peak) DC | 3s | | 0.80 | 2.20 | 0.84 | 2.40 |

***Table 3 3TB drive DC power requirements***

| | | Notes | 3.0Gb mode | | 6.0Gb mode | |
|---|---|---|---|---|---|---|
| | | | (Amps) | (Amps) | (Amps) | (Amps) |
| **Voltage** | | | **+5V** | **+12V [2]** | **+5V** | **+12V [2]** |
| **Regulation** | | [5] | **± 5% [2]** | | **± 5% [2]** | |
| Avg idle current DCX | | [1] [7] | 0.33 | 0.43 | 0.36 | 0.43 |
| Advanced idle current | | | | | | |
| Idle A | 3s | | 0.33 | 0.42 | 0.34 | 0.42 |
| Idle B | 3s | | 0.25 | 0.37 | 0.28 | 0.37 |
| Idle C | 3s | | 0.24 | 0.22 | 0.27 | 0.22 |
| Standby | 3s | | 0.26 | 0.00 | 0.24 | 0.00 |
| Maximum starting current | | | | | | |
| (peak DC) DC | 3s | [3] | 0.62 | 2.20 | 0.65 | 2.19 |
| (peak AC) AC | 3s | [3] | 0.85 | 2.71 | 0.79 | 2.75 |
| Delayed motor start (max) DC | 3s | [1] [4] | 0.29 | 0.01 | 0.29 | 0.01 |
| Operating current (random read): | | | | | | |
| Typical DCX | | [1] [6] | 0.49 | 0.71 | 0.44 | 0.71 |
| Maximum DC | 3s | [1] | 0.72 | 0.77 | 0.63 | 0.76 |
| Maximum (peak) DC | 3s | | 1.58 | 2.24 | 1.71 | 2.28 |
| Operating current (random write) | | | | | | |
| Typical DCX | | [1] | 0.47 | 0.61 | 0.48 | 0.62 |
| Maximum DC | 3s | [1] | 0.72 | 0.64 | 0.74 | 0.65 |
| Maximum (peak) DC | 3s | | 0.95 | 2.24 | 1.63 | 2.31 |
| Operating current (sequential read) | | | | | | |
| Typical DCX | | [1] | 0.71 | 0.47 | 0.77 | 0.47 |
| Maximum DC | 3s | [1] | 0.75 | 0.49 | 0.99 | 0.50 |
| Maximum (peak) DC | 3s | | 0.97 | 0.84 | 1.21 | 0.83 |
| Operating current (sequential write) | | | | | | |
| Typical DCX | | [1] | 0.56 | 0.50 | 0.61 | 0.50 |
| Maximum DC | 3s | [1] | 0.59 | 0.53 | 0.87 | 0.53 |
| Maximum (peak) DC | 3s | | 0.74 | 0.89 | 1.00 | 2.19 |

***Table 4 2TB drive DC power requirements***

| | | Notes | 3.0Gb mode | | 6.0Gb mode | |
|---|---|---|---|---|---|---|
| | | | (Amps) | (Amps) | (Amps) | (Amps) |
| **Voltage** | | | **+5V** | **+12V [2]** | **+5V** | **+12V [2]** |
| **Regulation** | | [5] | **± 5% [2]** | | **± 5% [2]** | |
| Avg idle current DCX | | [1] [7] | 0.33 | 0.34 | 0.33 | 0.34 |
| Advanced idle current | | | | | | |
| Idle A | 3s | | 0.33 | 0.34 | 0.34 | 0.34 |
| Idle B | 3s | | 0.24 | 0.31 | 0.25 | 0.31 |
| Idle C | 3s | | 0.24 | 0.19 | 0.24 | 0.19 |
| Standby | 3s | | 0.23 | 0.01 | 0.23 | 0.00 |
| Maximum starting current | | | | | | |
| (peak DC) DC | 3s | [3] | 0.62 | 2.16 | 0.64 | 2.15 |
| (peak AC) AC | 3s | [3] | 0.76 | 2.84 | 0.78 | 2.79 |
| Delayed motor start (max) DC | 3s | [1] [4] | 0.27 | 0.02 | 0.27 | 0.02 |
| Operating current (random read): | | | | | | |
| Typical DCX | | [1] [6] | 0.46 | 0.62 | 0.43 | 0.62 |
| Maximum DC | 3s | [1] | 0.81 | 0.64 | 0.70 | 0.66 |
| Maximum (peak) DC | 3s | | 1.54 | 2.18 | 1.64 | 2.23 |
| Operating current (random write) | | | | | | |
| Typical DCX | | [1] | 0.45 | 0.53 | 0.42 | 0.53 |
| Maximum DC | 3s | [1] | 0.81 | 0.54 | 0.69 | 0.56 |
| Maximum (peak) DC | 3s | | 0.99 | 2.18 | 1.24 | 2.22 |
| Operating current (sequential read) | | | | | | |
| Typical DCX | | [1] | 0.70 | 0.39 | 0.70 | 0.39 |
| Maximum DC | 3s | [1] | 0.77 | 0.42 | 0.77 | 0.42 |
| Maximum (peak) DC | 3s | | 1.24 | 0.81 | 1.16 | 0.80 |
| Operating current (sequential write) | | | | | | |
| Typical DCX | | [1] | 0.56 | 0.42 | 0.56 | 0.42 |
| Maximum DC | 3s | [1] | 0.60 | 0.45 | 0.62 | 0.46 |
| Maximum (peak) DC | 3s | | 0.72 | 2.50 | 0.76 | 2.02 |

***Table 5 1TB drive DC power requirements***

| | | Notes | 3.0Gb mode | | 6.0Gb mode | |
|---|---|---|---|---|---|---|
| | | | (Amps) | (Amps) | (Amps) | (Amps) |
| **Voltage** | | | **+5V** | **+12V [2]** | **+5V** | **+12V [2]** |
| **Regulation** | | [5] | **± 5% [2]** | | **± 5% [2]** | |
| Avg idle current DCX | | [1] [7] | 0.34 | 0.27 | 0.32 | 0.27 |
| Advanced idle current | | | | | | |
| Idle A | 3s | | 0.31 | 0.27 | 0.32 | 0.27 |
| Idle B | 3s | | 0.23 | 0.24 | 0.23 | 0.24 |
| Idle C | 3s | | 0.23 | 0.16 | 0.24 | 0.16 |
| Standby | 3s | | 0.22 | 0.01 | 0.22 | 0.00 |
| Maximum starting current | | | | | | |
| (peak DC) DC | 3s | [3] | 0.59 | 2.19 | 0.58 | 2.18 |
| (peak AC) AC | 3s | [3] | 0.77 | 2.73 | 0.75 | 2.70 |
| Delayed motor start (max) DC | 3s | [1] [4] | 0.55 | 0.01 | 0.25 | 0.01 |
| Operating current (random read): | | | | | | |
| Typical DCX | | [1] [6] | 0.46 | 0.54 | 0.49 | 0.54 |
| Maximum DC | 3s | [1] | 0.77 | 0.59 | 0.83 | 0.59 |
| Maximum (peak) DC | 3s | | 1.66 | 2.17 | 1.75 | 2.11 |
| Operating current (random write) | | | | | | |
| Typical DCX | | [1] | 0.51 | 0.44 | 0.49 | 0.44 |
| Maximum DC | 3s | [1] | 0.83 | 0.48 | 0.83 | 0.48 |
| Maximum (peak) DC | 3s | | 1.04 | 2.07 | 1.05 | 2.10 |
| Operating current (sequential read) | | | | | | |
| Typical DCX | | [1] | 0.72 | 0.31 | 0.69 | 0.31 |
| Maximum DC | 3s | [1] | 0.97 | 0.33 | 0.73 | 0.33 |
| Maximum (peak) DC | 3s | | 1.32 | 0.64 | 1.47 | 0.69 |
| Operating current (sequential write) | | | | | | |
| Typical DCX | | [1] | 0.56 | 0.34 | 0.56 | 0.34 |
| Maximum DC | 3s | [1] | 0.58 | 0.36 | 0.58 | 0.36 |
| Maximum (peak) DC | 3s | | 0.94 | 1.89 | 0.74 | 1.21 |

[1] Measured with average reading DC ammeter. Instantaneous +12V current peaks will exceed these values. Power supply at nominal voltage. N (number of drives tested) = 6, 35 Degrees C ambient.

[2] For +12 V, a –10% tolerance is allowed during initial spindle start but must return to ± 5% before reaching 7200 RPM. The ± 5% must be maintained after the drive signifies that its power-up sequence has been completed and that the drive is able to accept selection by the host initiator.

[3] See +12V current profile in Figure 1. (for 4TB models)

[4] This condition occurs after OOB and Speed Negotiation completes but before the drive has received the Notify Spinup primitive.

[5] See paragraph 6.3.1, "Conducted noise immunity." Specified voltage tolerance includes ripple, noise, and transient response.

[6] Operating condition is defined as random 8 block reads.

[7] During idle, the drive heads are relocated every 60 seconds to a random location within the band from three-quarters to maximum track.

**General DC power requirement notes.**

1. Minimum current loading for each supply voltage is not less than 1.7% of the maximum operating current shown.
2. The +5V and +12V supplies should employ separate ground returns.
3. Where power is provided to multiple drives from a common supply, careful consideration for individual drive power requirements should be noted. Where multiple units are powered on simultaneously, the peak starting current must be available to each device.
4. Parameters, other than spindle start, are measured after a 10-minute warm up.
5. No terminator power.

### 6.3.1 Conducted noise immunity

Noise is specified as a periodic and random distribution of frequencies covering a band from DC to 10 MHz. Maximum allowed noise values given below are peak-to-peak measurements and apply at the drive power connector.

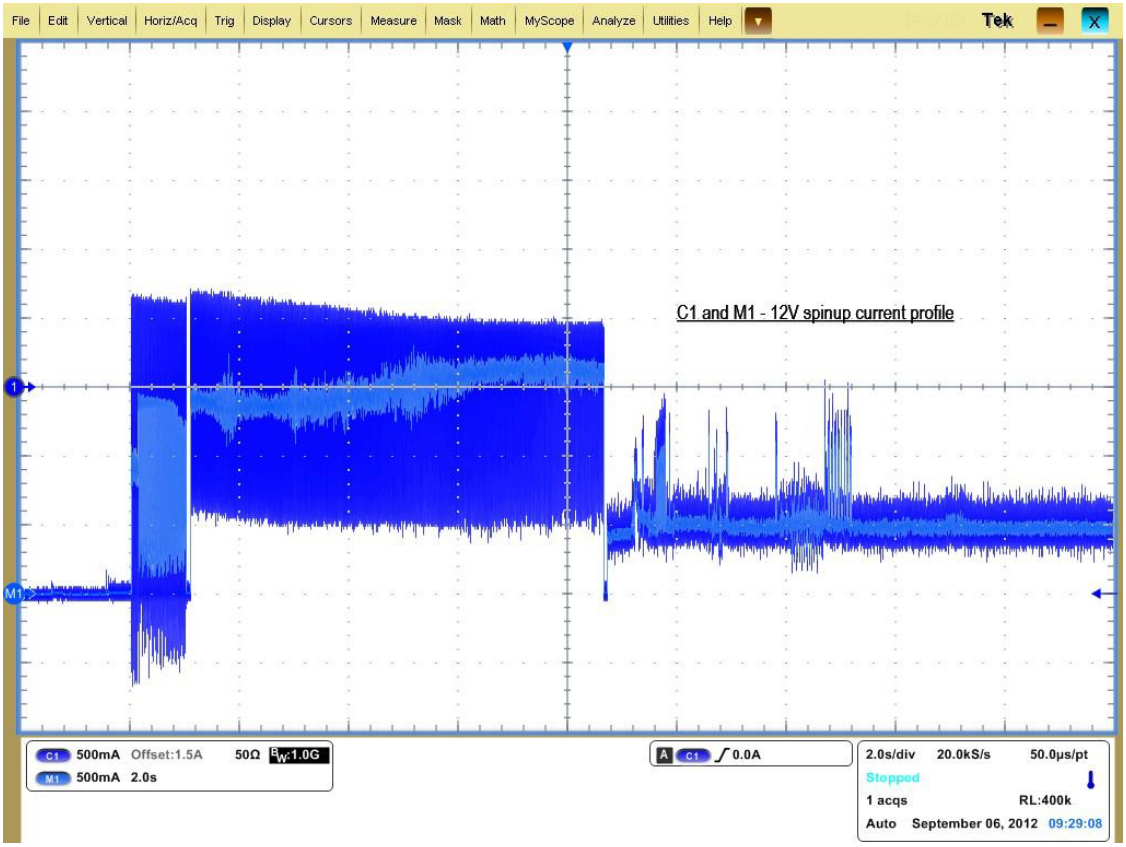
| | | |
|---|---|---|
| +5v | = | 250 mV pp from 100 Hz to 20 MHz. |
| +12v | = | 800 mV pp from 100 Hz to 8 KHz.<br>450 mV pp from 8 KHz to 20 KHz.<br>250 mV pp from 20 KHz to 5 MHz. |

### 6.3.2 Power sequencing

The drive does not require power sequencing. The drive protects against inadvertent writing during power-up and down.

### 6.3.3 Current profiles

The +12V (top) and +5V (bottom) current profiles for the Constellation ES drives are shown below.



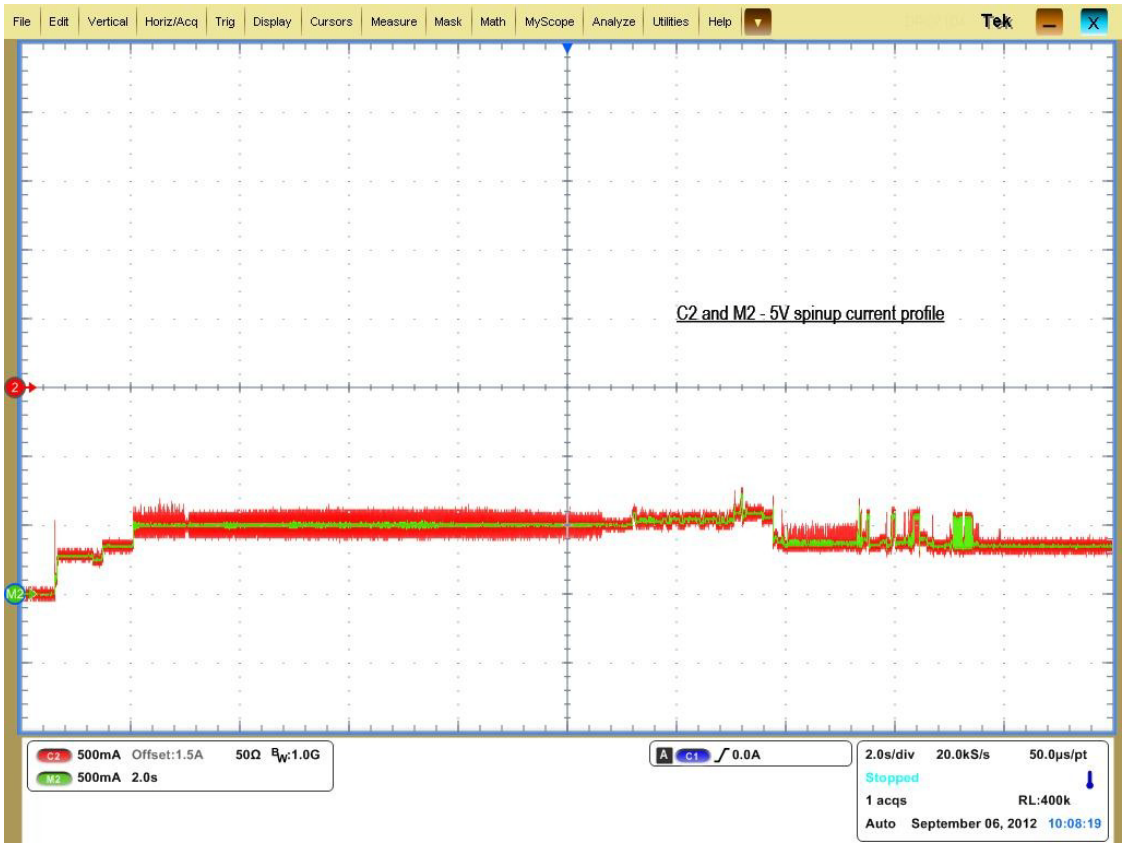




**Figure 1. 4TB model current profiles**

**Note:** All times and currents are typical. See Table 2 for maximum current requirements.

The +12V (top) and +5V (bottom) current profiles for the Constellation ES drives are shown below.

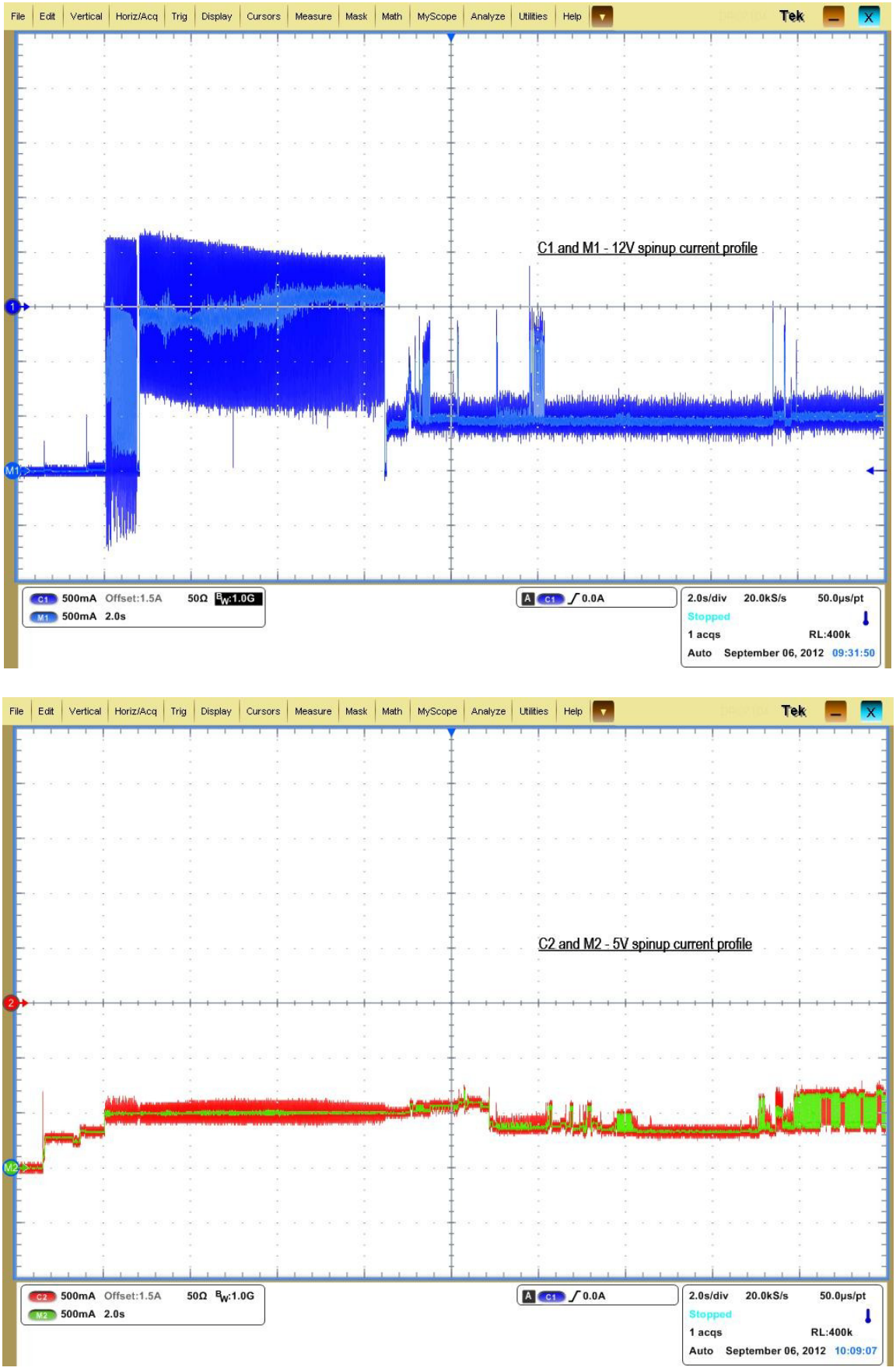



**Figure 2. 3TB model current profiles**

**Note:** All times and currents are typical. See Table 3 for maximum current requirements.

The +12V (top) and +5V (bottom) current profiles for the Constellation ES drives are shown below.

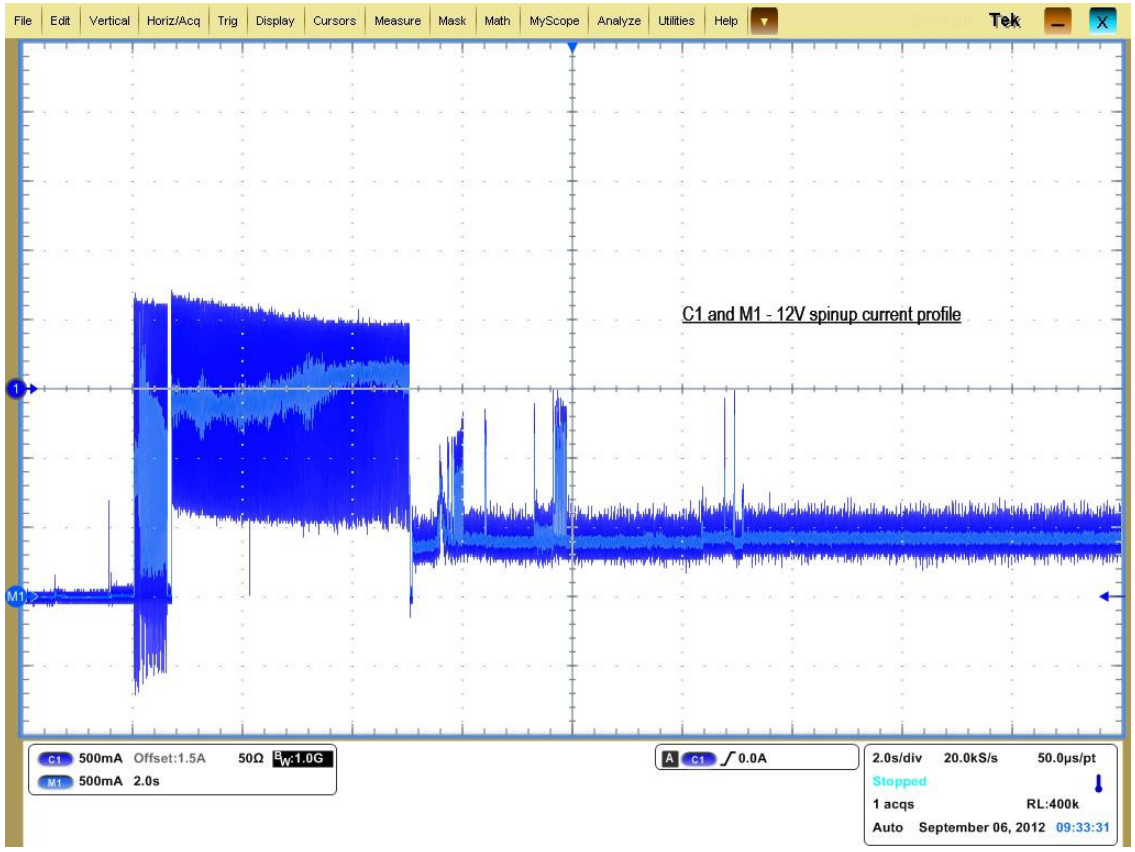






**Figure 3. 2TB model current profiles**

**Note:** All times and currents are typical. See Table 4 for maximum current requirements.

The +12V (top) and +5V (bottom) current profiles for the Constellation ES drives are shown below.

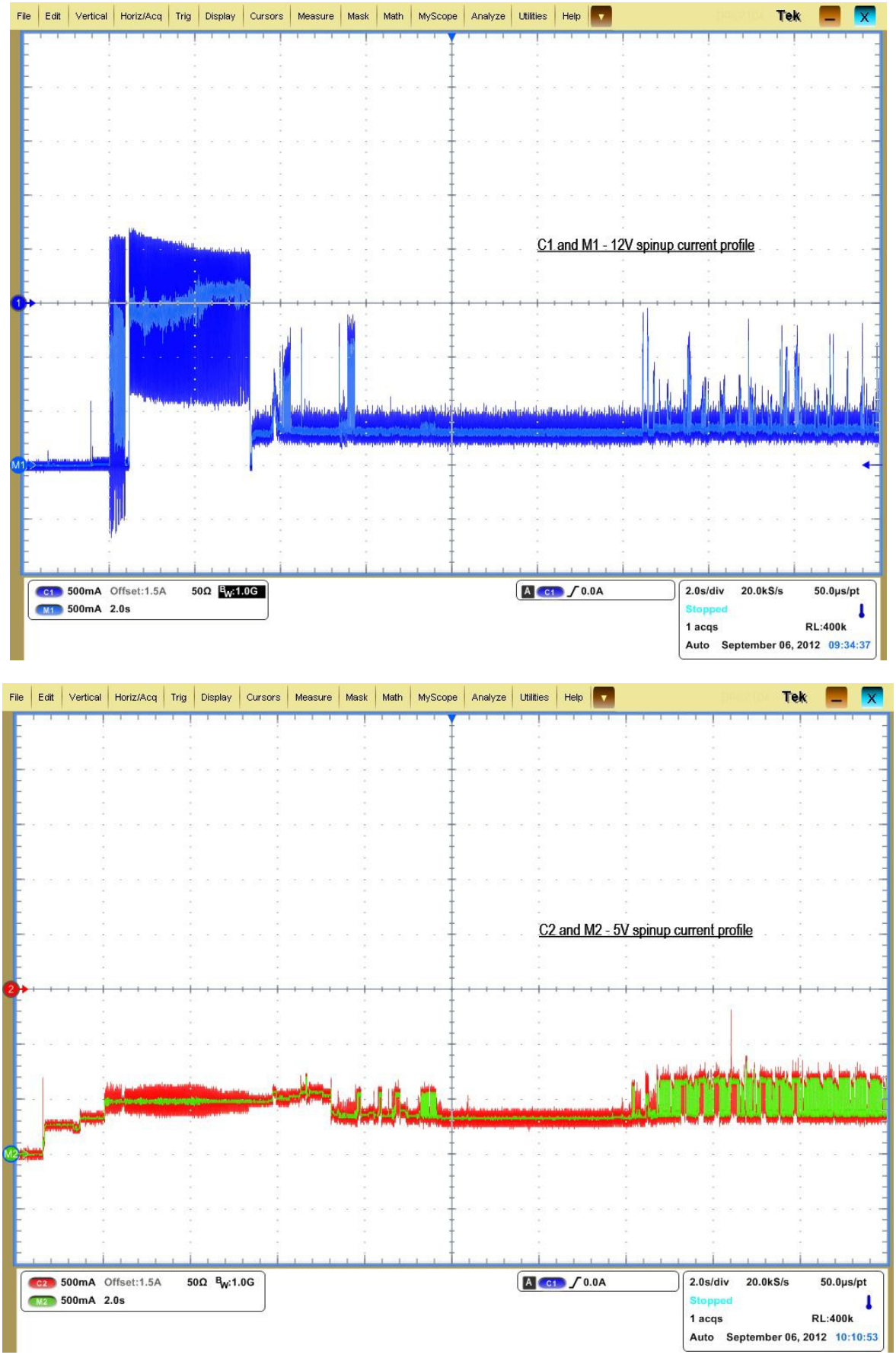



**Figure 4. 1TB model current profiles**

**Note:** All times and currents are typical. See Table 5 for maximum current requirements.

## 6.4 Power dissipation

### 4TB models in 3Gb operation

Please refer to Table 2 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 5). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

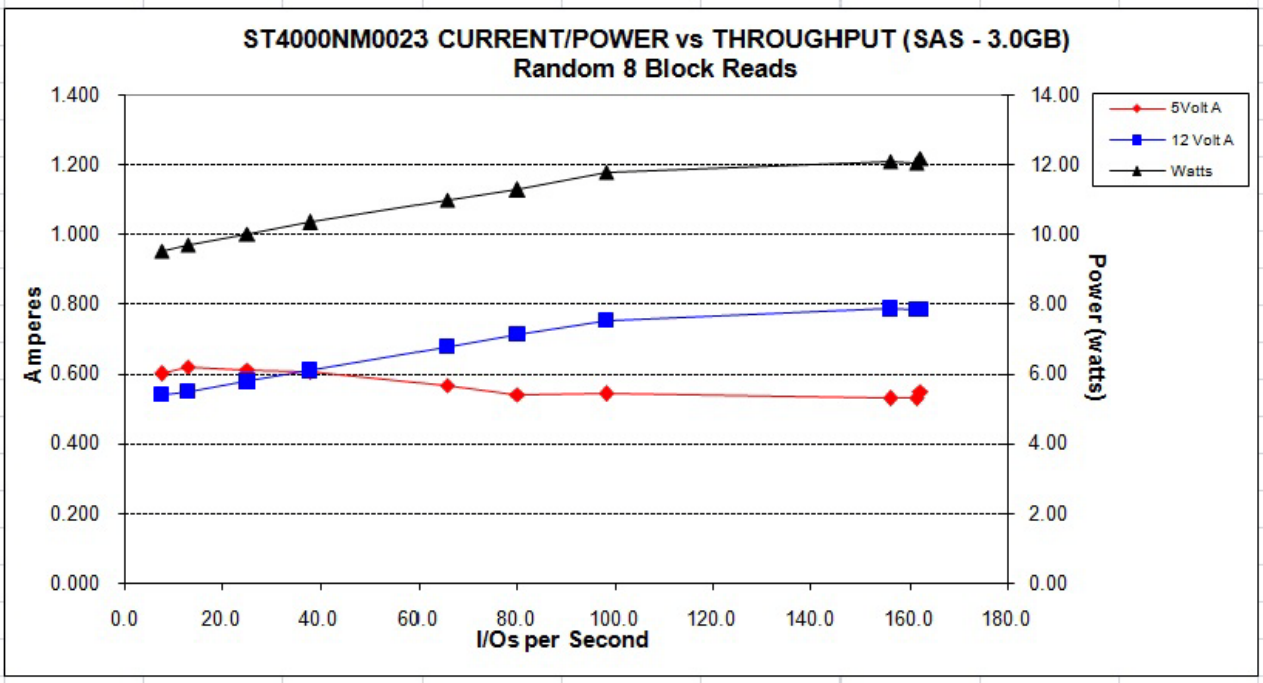



**Figure 5. 4TB models (3Gb) DC current and power vs. input/output operations per second**

### 4TB models in 6Gb operation

Please refer to Table 2 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 6.). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

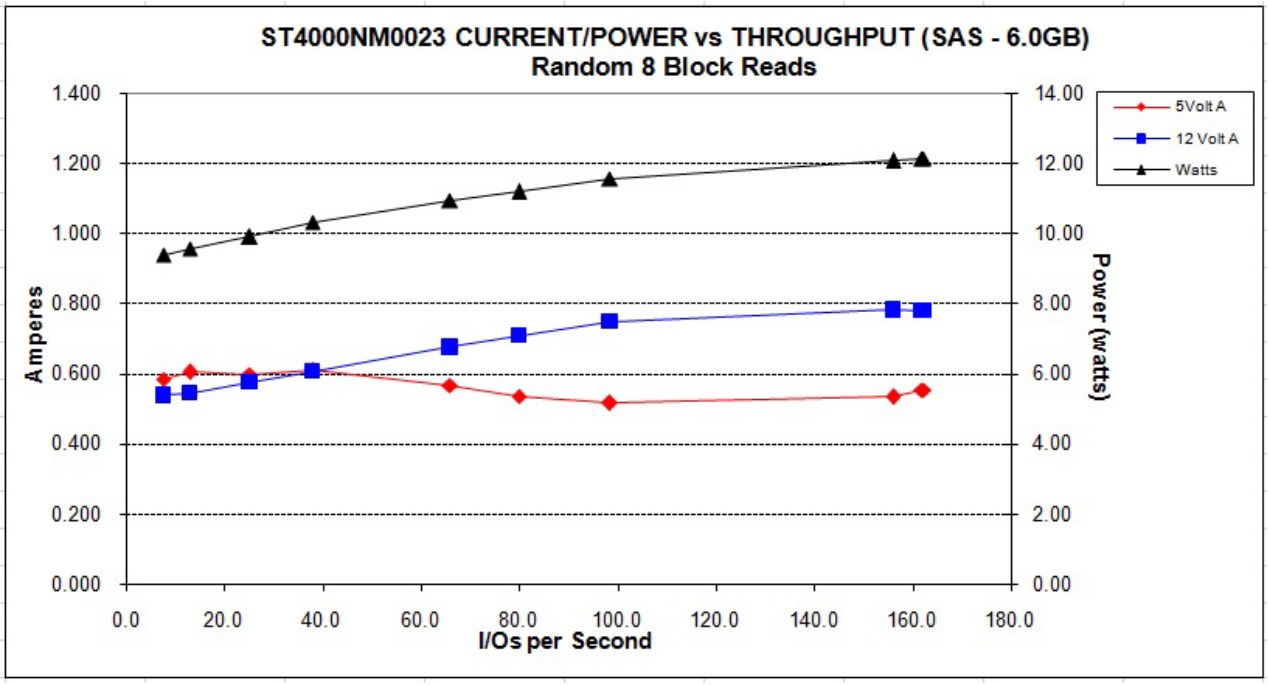



**Figure 6. 4TB models (6Gb) DC current and power vs. input/output operations per second**

### 3TB models in 3Gb operation

Please refer to Table 3 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 5). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

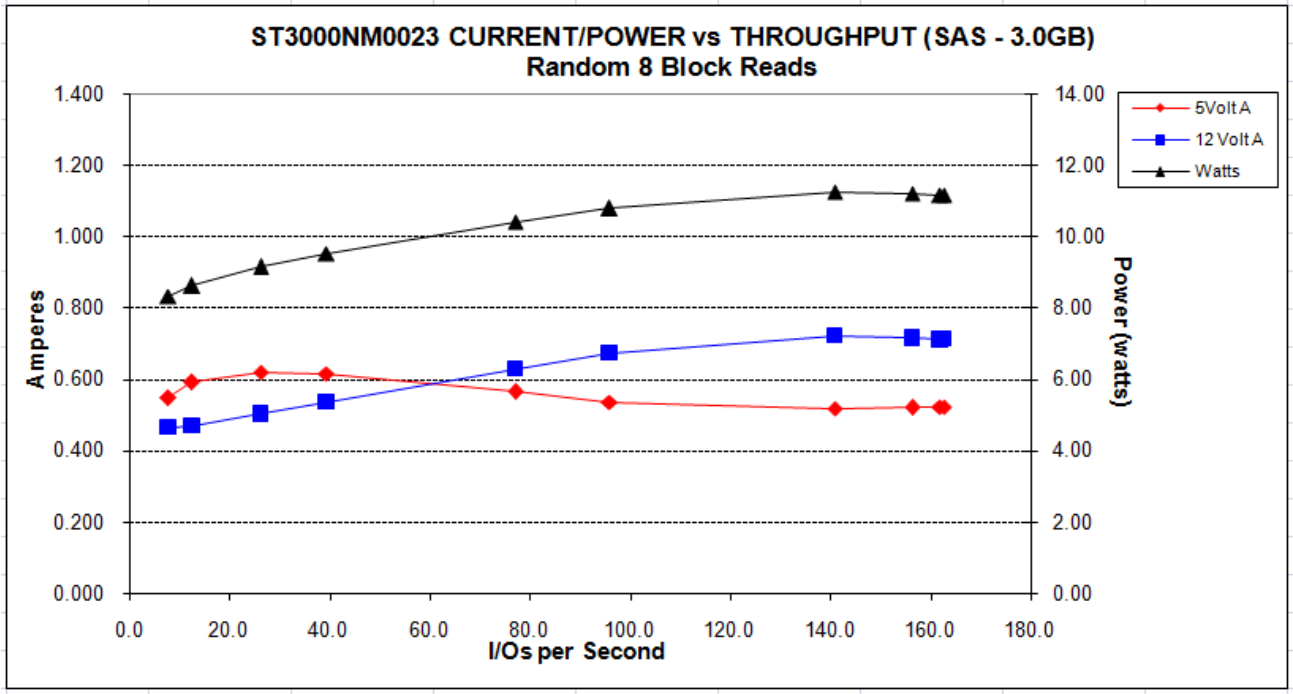



**Figure 7. 3TB models (3Gb) DC current and power vs. input/output operations per second**

### 3TB models in 6Gb operation

Please refer to Table 3 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 6.). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

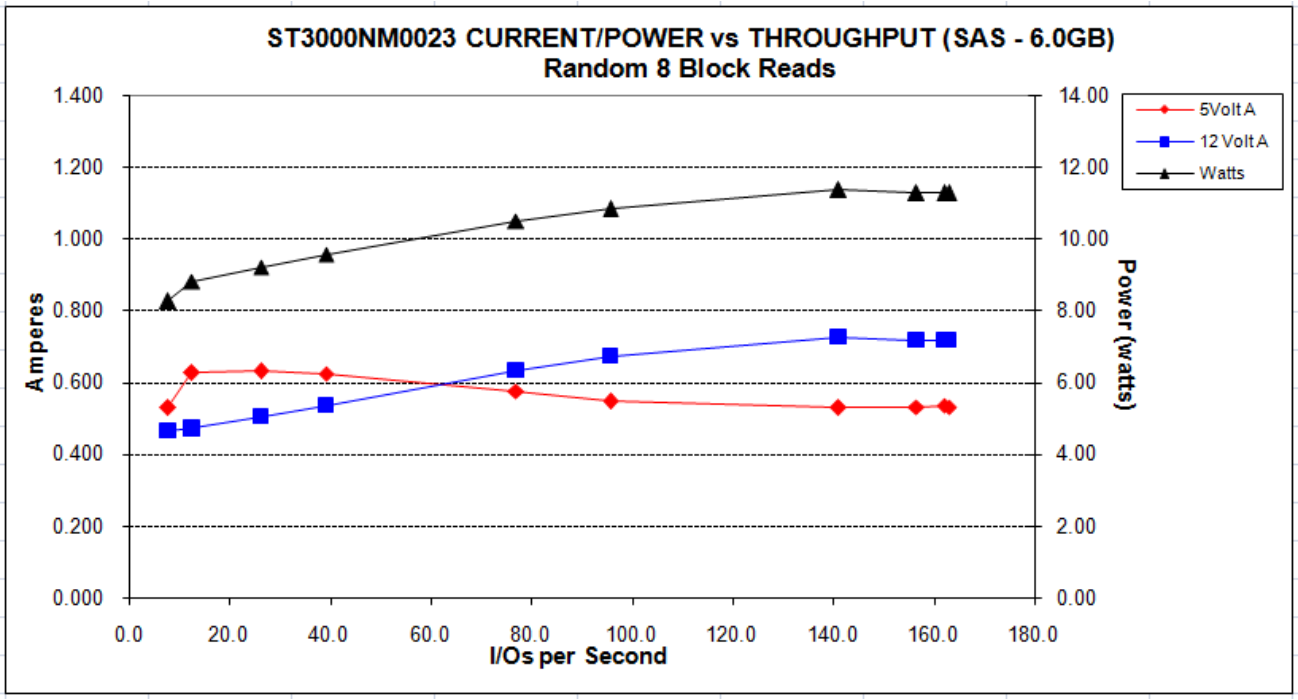



**Figure 8. 3TB models (6Gb) DC current and power vs. input/output operations per second**

### 2TB models in 3Gb operation

Please refer to Table 4 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 5). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

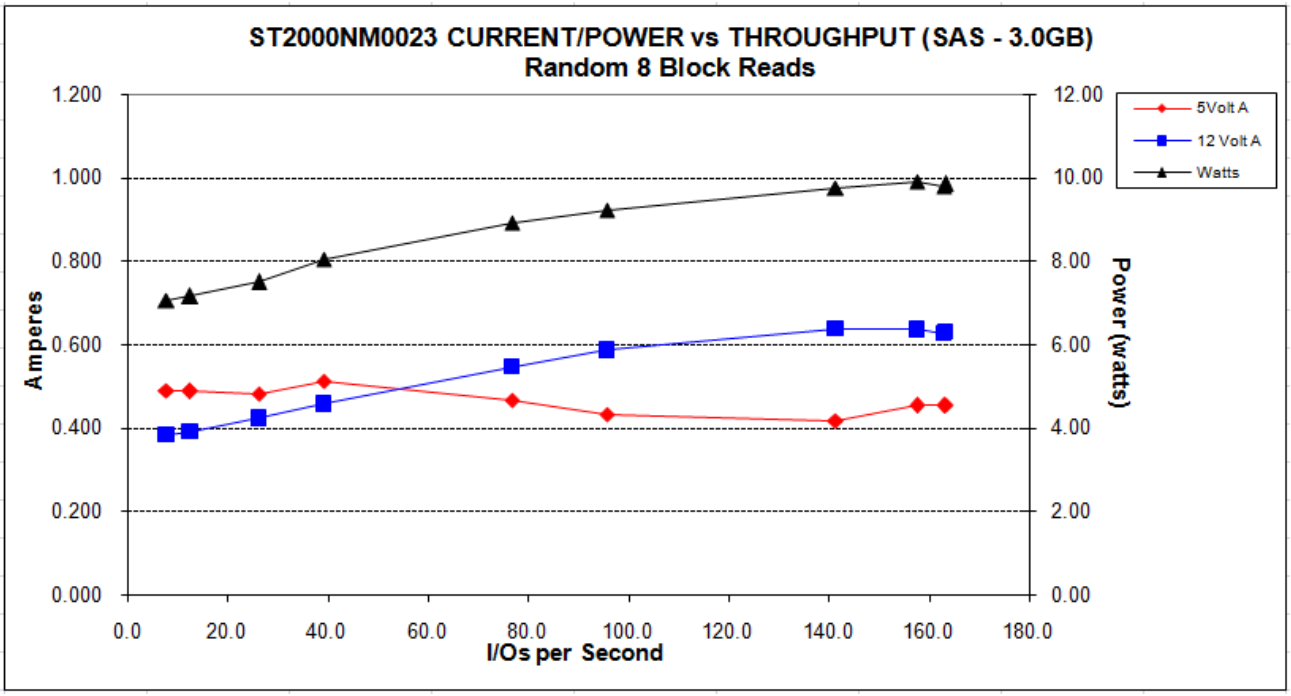



**Figure 9. 2TB models (3Gb) DC current and power vs. input/output operations per second**

### 2TB models in 6Gb operation

Please refer to Table 4 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 6.). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

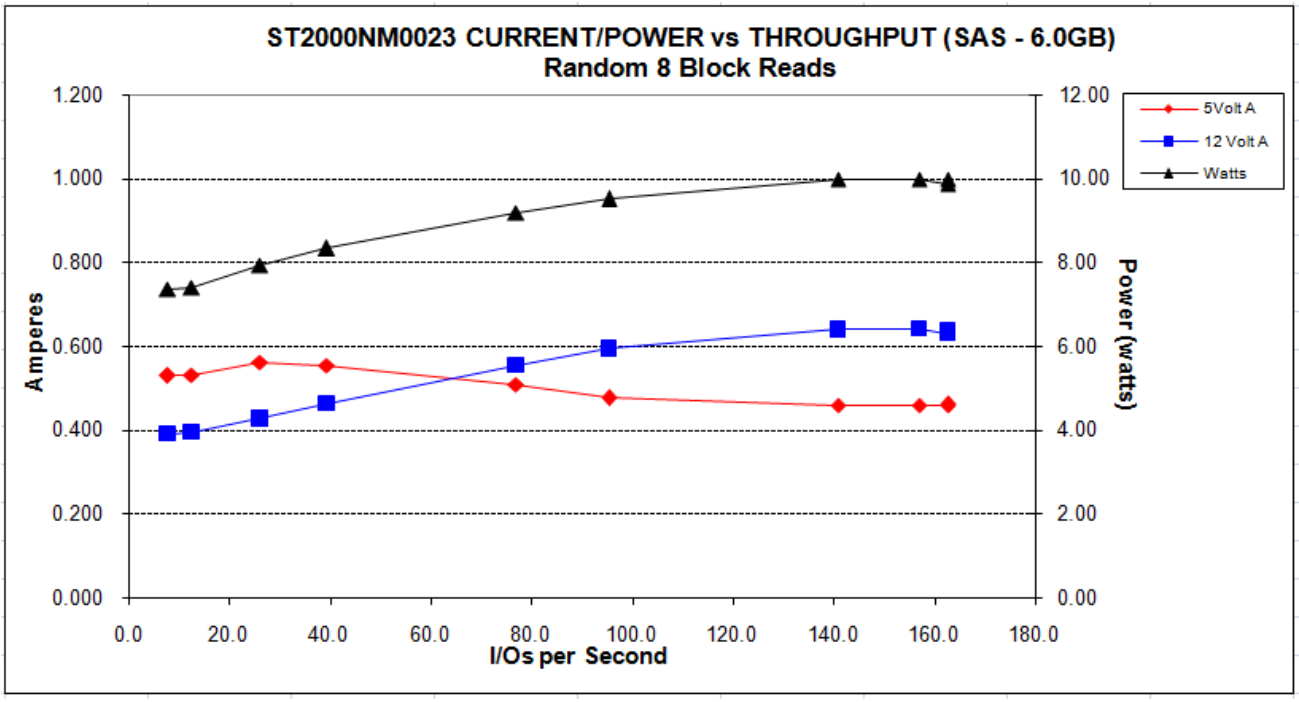



**Figure 10. 2TB models (6Gb) DC current and power vs. input/output operations per second**

### 1TB models in 3Gb operation

Please refer to Table 5 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 5). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

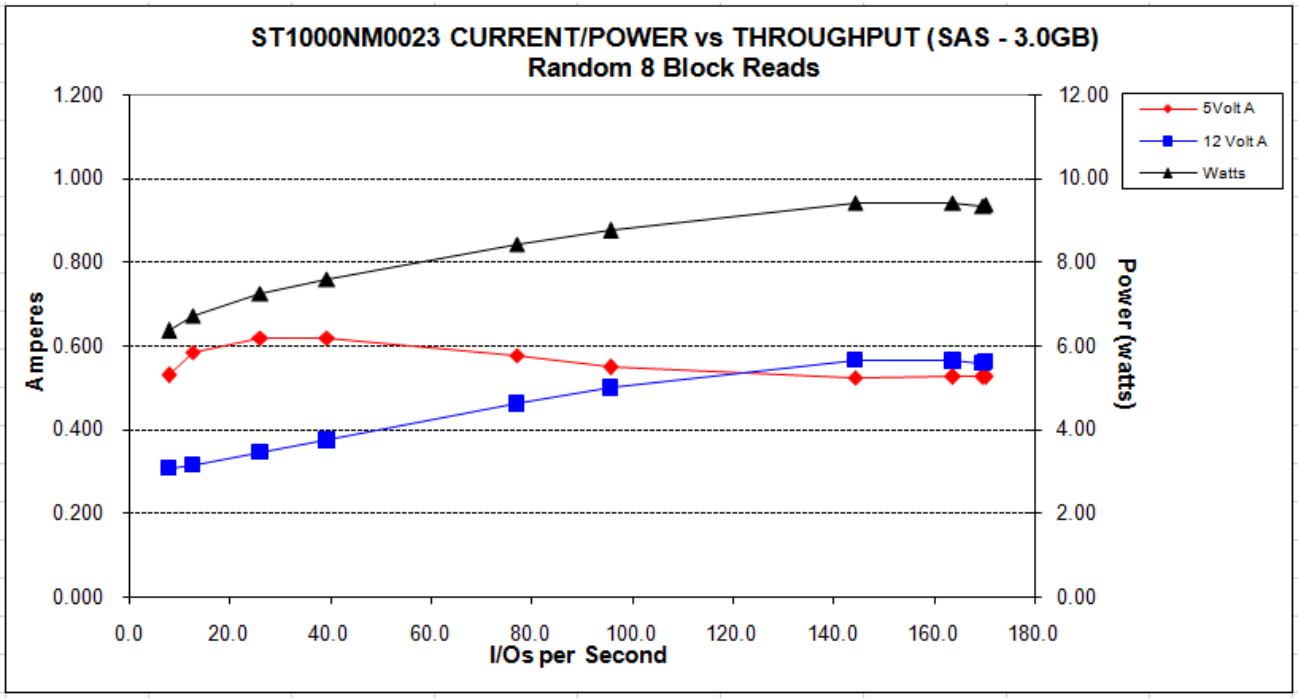



**Figure 11. 1TB models (3Gb) DC current and power vs. input/output operations per second**

### 1TB models in 6Gb operation

Please refer to Table 5 for power dissipation numbers.

To obtain operating power for typical random read operations, refer to the following I/O rate curve (see Figure 6.). Locate the typical I/O rate for a drive in the system on the horizontal axis and read the corresponding +5 volt current, +12 volt current, and total watts on the vertical axis. To calculate BTUs per hour, multiply watts by 3.4123.

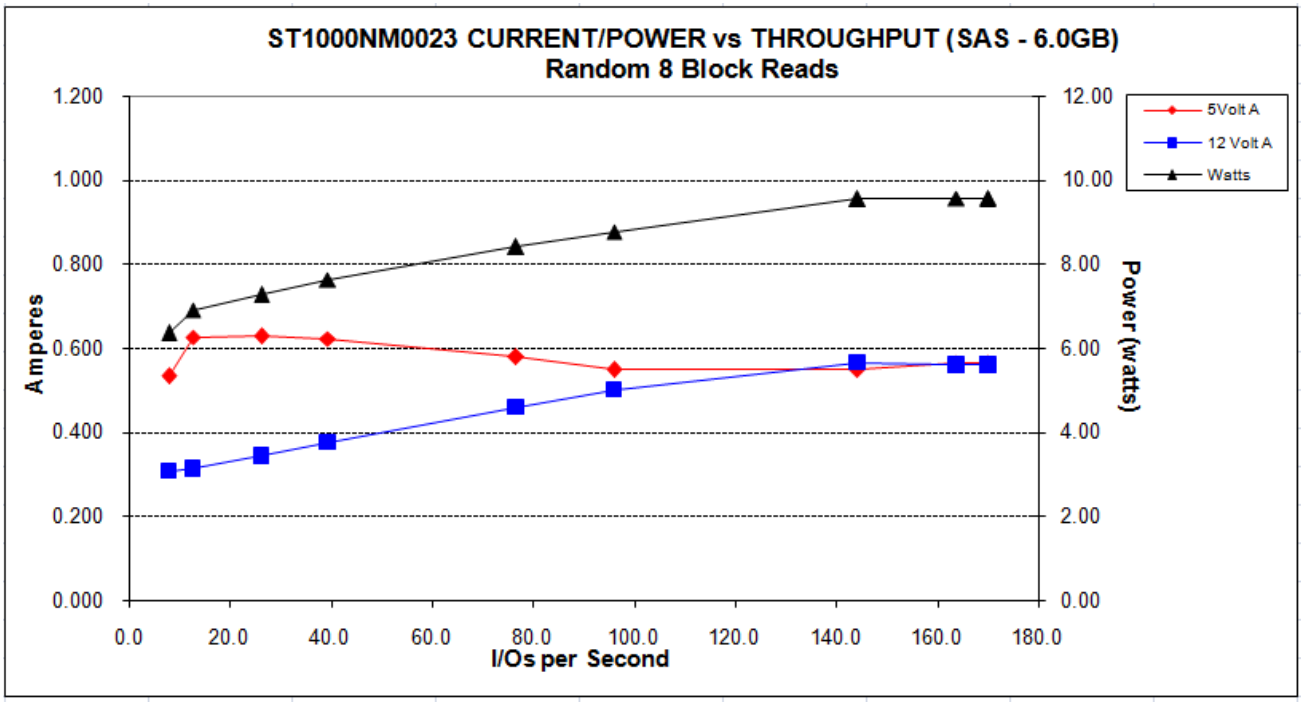



**Figure 12. 1TB models (6Gb) DC current and power vs. input/output operations per second**

## 6.5 Environmental limits

Temperature and humidity values experienced by the drive must be such that condensation does not occur on any drive part. Altitude and atmospheric pressure specifications are referenced to a standard day at 58.7°F (14.8°C). Maximum wet bulb temperature is 82°F (28°C).

### 6.5.1 Temperature

a. Operating

The drive meets the operating specifications over a 41°F to 140°F (5°C to 60°C) drive case temperature range with a maximum temperature gradient of 36°F (20°C) per hour.

The maximum allowable drive case temperature is 60°C. See Figure 13 for HDA case temperature measurement location.

The MTBF specification for the drive assumes the operating environment is designed to maintain nominal case temperature. The rated MTBF is based upon a sustained case temperature of 104°F (40°C). Occasional excursions in operating temperature between the rated MTBF temperature and the maximum drive operating case temperature may occur without impact to the rated MTBF temperature. However, continual or sustained operation at case temperatures beyond the rated MTBF temperature will degrade the drive MTBF and reduce product reliability.

Air flow may be required to achieve consistent nominal case temperature values (see Section 10.2). To confirm that the required cooling is provided for the electronics and HDA, place the drive in its final mechanical configuration, and perform random write/read operations. After the temperatures stabilize, measure the case temperature of the drive.

b. Non-operating

–40° to 158°F (–40° to 70°C) package ambient with a maximum gradient of 36°F (20°C) per hour. This specification assumes that the drive is packaged in the shipping container designed by Seagate for use with drive.

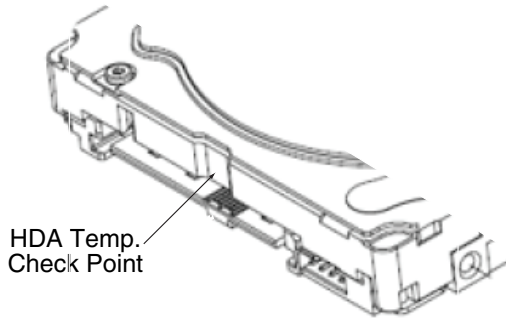



**Figure 13. Location of the HDA temperature check point**

| **Note** | Image is for reference only, may not represent actual drive. |
|---|---|

### 6.5.2 Relative humidity

The values below assume that no condensation on the drive occurs.

a. Operating

5% to 95% non-condensing relative humidity with a maximum gradient of 20% per hour.

b. Non-operating

5% to 95% non-condensing relative humidity.

### 6.5.3 Effective altitude (sea level)

a. Operating

–1000 to +10,000 feet (–304.8 to +3048 meters)

b. Non-operating

–1000 to +40,000 feet (–304.8 to +12,192 meters)

### 6.5.4 Shock and vibration

Shock and vibration limits specified in this document are measured directly on the drive chassis. If the drive is installed in an enclosure to which the stated shock and/or vibration criteria is applied, resonances may occur internally to the enclosure resulting in drive movement in excess of the stated limits. If this situation is apparent, it may be necessary to modify the enclosure to minimize drive movement.

The limits of shock and vibration defined within this document are specified with the drive mounted by any of the four methods shown in Figure 14, and in accordance with the restrictions of Section 10.3.

#### 6.5.4.1 Shock

a. Operating—normal

   The drive, as installed for normal operation, shall operate error free while subjected to intermittent shock not exceeding 70 Gs (read) and 40 Gs (write) at a maximum duration of 2ms (half sinewave). Shock may be applied in the X, Y, or Z axis. Shock is not to be repeated more than once every 2 seconds.

b. Operating—abnormal

   Equipment, as installed for normal operation, does not incur physical damage while subjected to intermittent shock not exceeding 40 Gs at a maximum duration of 11ms (half sinewave). Shock occurring at abnormal levels may promote degraded operational performance during the abnormal shock period. Specified operational performance will continue when normal operating shock levels resume. Shock may be applied in the X, Y, or Z axis. Shock is not to be repeated more than once every 2 seconds.

c. Non-operating

   The limits of non-operating shock shall apply to all conditions of handling and transportation. This includes both isolated drives and integrated drives.

   The drive subjected to nonrepetitive shock not exceeding 80 Gs at a maximum duration of 11ms (half sinewave) shall not exhibit device damage or performance degradation. Shock may be applied in the X, Y, or Z axis.

   The drive subjected to nonrepetitive shock not exceeding 300 Gs at a maximum duration of 2ms (half sinewave) does not exhibit device damage or performance degradation. Shock may be applied in the X, Y, or Z axis.

   The drive subjected to nonrepetitive shock not exceeding 200 Gs at a maximum duration of 0.5ms (half sinewave) does not exhibit device damage or performance degradation. Shock may be applied in the X, Y, or Z axis.

d. Packaged

   Disk drives shipped as loose load (not palletized) general freight will be packaged to withstand drops from heights as defined in the table below. For additional details refer to Seagate specifications 30190-001 (under 100 lbs/45 kg) or 30191-001 (over 100 lbs/45 Kg).

| Package size | Packaged/product weight | Drop height |
|---|---|---|
| <600 cu in (<9,800 cu cm) | Any | 60 in (1524 mm) |
| 600-1800 cu in (9,800-19,700 cu cm) | 0-20 lb (0 to 9.1 kg) | 48 in (1219 mm) |
| >1800 cu in (>19,700 cu cm) | 0-20 lb (0 to 9.1 kg) | 42 in (1067 mm) |
| >600 cu in (>9,800 cu cm) | 20-40 lb (9.1 to 18.1 kg) | 36 in (914 mm) |

Drives packaged in single or multipacks with a gross weight of 20 pounds (8.95 kg) or less by Seagate for general freight shipment shall withstand a drop test from 48 in (1070 mm) against a concrete floor or equivalent.

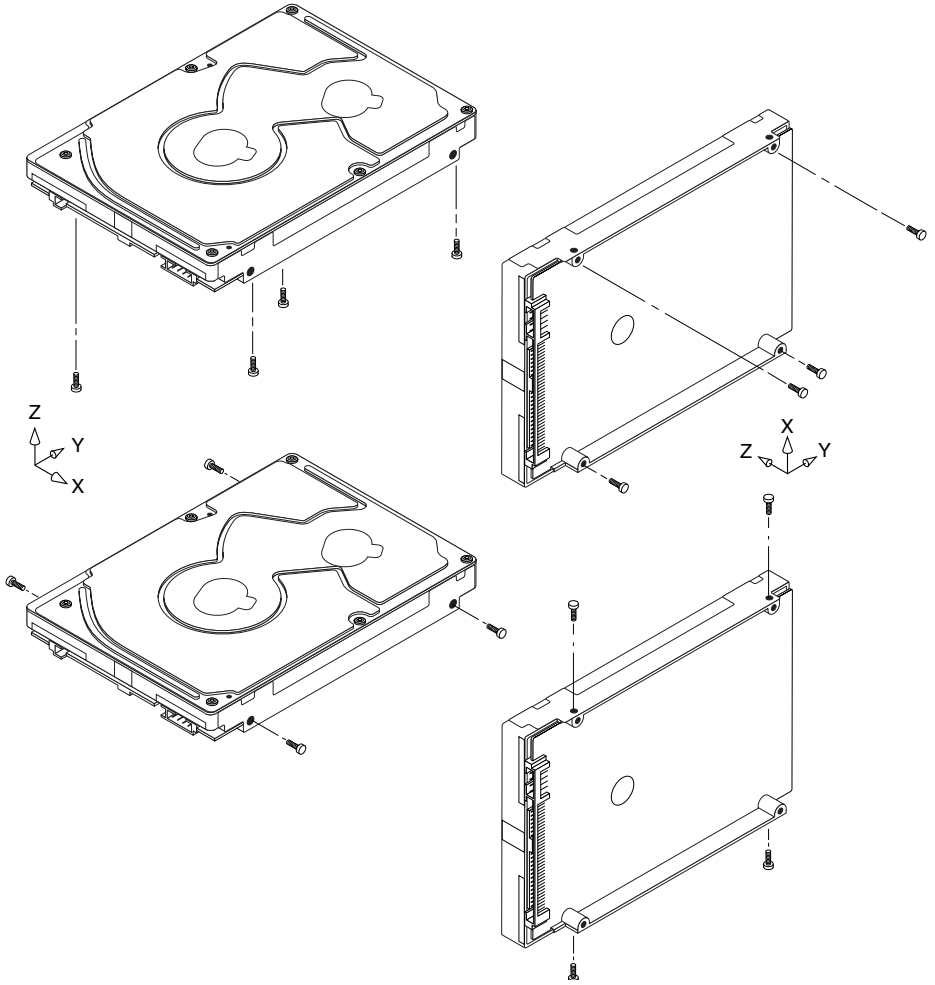



**Figure 14. Recommended mounting**

| Note | Image is for reference only, may not represent actual drive. |
|---|---|

#### 6.5.4.2 Vibration

a. Operating—normal

The drive as installed for normal operation, shall comply with the complete specified performance while subjected to continuous vibration not exceeding

| | |
|---|---|
| 5 - 22 Hz | 0.25 Gs, limited displacement |
| 22 - 350 Hz | 0.5 Gs |
| 350 - 500 Hz | 0.25 Gs |

Vibration may be applied in the X, Y, or Z axis.

b. Operating—abnormal

Equipment as installed for normal operation shall not incur physical damage while subjected to periodic vibration not exceeding:

15 minutes of duration at major resonant frequency

Vibration occurring at these levels may degrade operational performance during the abnormal vibration period. Specified operational performance will continue when normal operating vibration levels are resumed. This assumes system recovery routines are available.

Operating abnormal translational random flat profile

5-500 Hz @ 0.75 G (X, Y, or Z axis)

c. Non-operating

The limits of non-operating vibration shall apply to all conditions of handling and transportation. This includes both isolated drives and integrated drives.

The drive shall not incur physical damage or degraded performance as a result of continuous vibration not exceeding

| | |
|---|---|
| 5 - 22 Hz | 2 Gs (0 to peak, linear, swept sine, 0.5 octave/min) |
| 22 - 350 Hz | 5 Gs (0 to peak, linear, swept sine, 0.5 octave/min) |
| 350 - 500 Hz | 2 Gs (0 to peak, linear, swept sine, 0.5 octave/min) |

Vibration may be applied in the X, Y, or Z axis.

### 6.5.5 Acoustics

Sound power during idle mode shall be 2.8 bels typical when measured to ISO 7779 specification.

Sound power while operating shall be 3.0 bels typical when measured to ISO 7779 specification.

There will not be any discrete tones more than 9 dB above the masking noise when measured according to Seagate specification 30553-001.

### 6.5.6 Air cleanliness

The drive is designed to operate in a typical office environment with minimal environmental control.

### 6.5.7 Corrosive environment

Seagate electronic drive components pass accelerated corrosion testing equivalent to 10 years exposure to light industrial environments containing sulfurous gases, chlorine and nitric oxide, classes G and H per ASTM B845. However, this accelerated testing cannot duplicate every potential application environment.

Users should use caution exposing any electronic components to uncontrolled chemical pollutants and corrosive chemicals as electronic drive component reliability can be affected by the installation environment. The silver, copper, nickel and gold films used in Seagate products are especially sensitive to the presence of sulfide, chloride, and nitrate contaminants. Sulfur is found to be the most damaging. In addition, electronic components should never be exposed to condensing water on the surface of the printed circuit board assembly (PCBA) or exposed to an ambient relative humidity greater than 95%. Materials used in cabinet fabrication, such as vulcanized rubber, that can outgas corrosive compounds should be minimized or eliminated. The useful life of any electronic equipment may be extended by replacing materials near circuitry with sulfide-free alternatives.

### 6.5.8 Electromagnetic susceptibility

See Section 2.1.1.1.

## 6.6 Mechanical specifications

Refer to Figure 15 for detailed mounting configuration dimensions. See Section 10.3, “Drive mounting.”

| | | | |
|---|---|---|---|
| Weight: | 4TB | 1.543 lb | 700 g |
| | 3TB | 1.444 lb | 655 g |
| | 2TB | 1.400 lb | 635 g |
| | 1TB | 1.334 lb | 605 g |

| Note | These dimensions conform to the Small Form Factor Standard documented in SFF-8301 and SFF-8323, found at www.sffcommittee.org. |
|---|---|

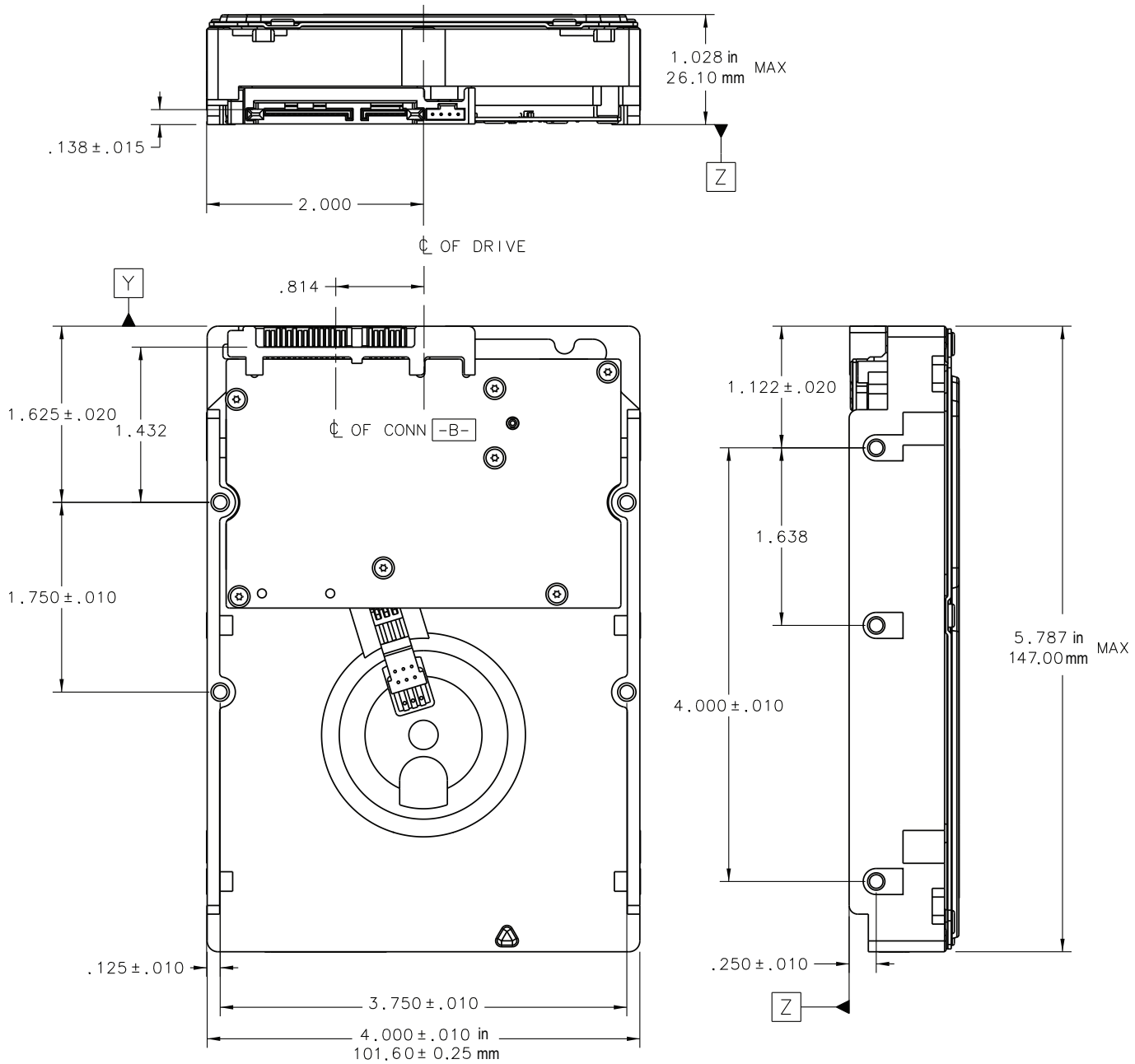



**Figure 15. Mounting configuration dimensions**

# 7.0 About FIPS

The Federal Information Processing Standard (FIPS) Publication 140-2 is a U.S. Government Computer Security Standard used to accredit cryptographic modules. It is titled 'Security Requirements for Cryptographic Modules (FIPS PUB 140-2)' and is issued by the National Institute of Standards and Technology (NIST).

### Purpose

This standard specifies the security requirements that will be satisfied by a cryptographic module utilized within a security system protecting sensitive but unclassified information. The standard provides four increasing, qualitative levels of security: Level 1, Level 2, Level 3 and Level 4. These levels are intended to cover the wide range of potential applications and environments in which cryptographic modules may be employed.

### Validation Program

Products that claim conformance to this standard are validated by the Cryptographic Module Validation Program (CMVP) which is a joint effort between National Institute of Standards and Technology (NIST) and the Communications Security Establishment (CSE) of the Government of Canada. Products validated as conforming to FIPS 140-2 are accepted by the Federal agencies of both countries for the protection of sensitive information (United States) or Designated Information (Canada).

In the CMVP, vendors of cryptographic modules use independent, accredited testing laborites to have their modules tested. National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratories perform cryptographic module compliance/conformance testing.

### Seagate Enterprise SED

The SEDs referenced in this Product Manual have been validated by CMVP and have been thoroughly tested by a NVLAP accredited lab to satisfy FIPS 140-2 Level 2 requirements. In order to operate in FIPS Approved Mode of Operation, these SEDs require security initialization. For more information, refer to 'Security Rules' section in the 'Security Policy' document uploaded on the NIST website. To reference the product certification visit - http://csrc.nist.gov/groups/STM/cmvp/documents/140-1/1401vend.htm, and search for "Seagate".

#### Security Level 2

Security Level 2 enhances the physical security mechanisms of a Security Level 1 cryptographic module by adding the requirement for tamper-evidence, which includes the use of tamper-evident coatings or seals on removable covers of the module. Tamper-evident coatings or seals are placed on a cryptographic module so that the coating or seal must be broken to attain physical access to the critical security parameters (CSP) within the module. Tamper-evident seals (example shown in Figure 16, page 43) are placed on covers to protect against unauthorized physical access. In addition Security Level 2 requires, at a minimum, role-based authentication in which a cryptographic module authenticates the authorization of an operator to assume a specific role and perform a corresponding set of services.

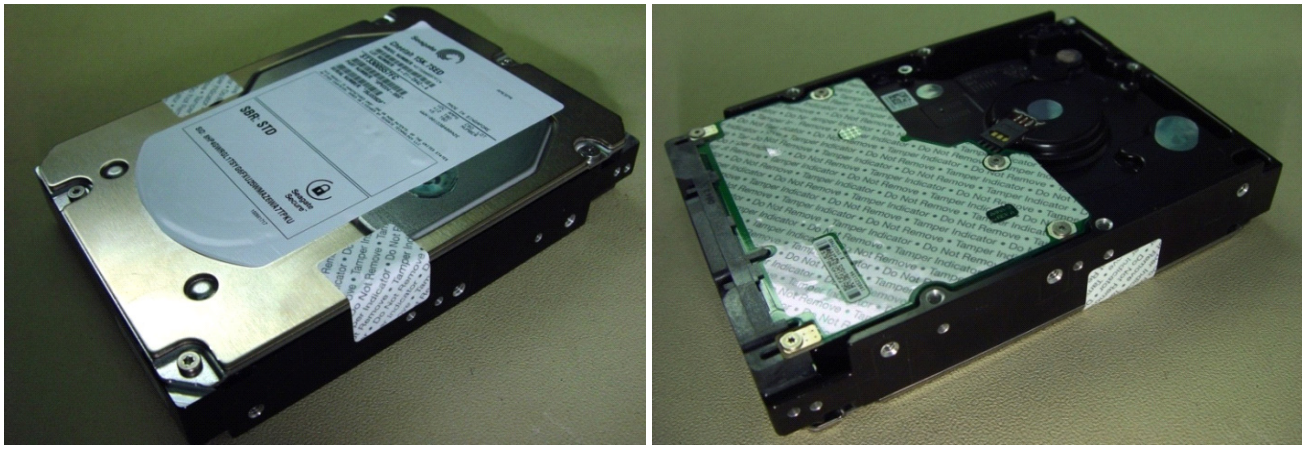


**Figure 16. Example of FIPS tamper evidence labels.**

| Note | Image is for reference only, may not represent actual drive. |
|---|---|

# 8.0 About self-encrypting drives

Self-encrypting drives (SEDs) offer encryption and security services for the protection of stored data, commonly known as "protection of data at rest." These drives are compliant with the Trusted Computing Group (TCG) Enterprise Storage Specifications as detailed in Section 2.2.

The Trusted Computing Group (TCG) is an organization sponsored and operated by companies in the computer, storage and digital communications industry. Seagate's SED models comply with the standards published by the TCG.

To use the security features in the drive, the host must be capable of constructing and issuing the following two SCSI commands:

- Security Protocol Out
- Security Protocol In

These commands are used to convey the TCG protocol to and from the drive in their command payloads.

## 8.1 Data encryption

Encrypting drives use one inline encryption engine for each port, employing AES-256 data encryption in Cipher Block Chaining (CBC) mode to encrypt all data prior to being written on the media and to decrypt all data as it is read from the media. The encryption engines are always in operation and cannot be disabled.

The 32-byte Data Encryption Key (DEK) is a random number which is generated by the drive, never leaves the drive, and is inaccessible to the host system. The DEK is itself encrypted when it is stored on the media and when it is in volatile temporary storage (DRAM) external to the encryption engine. A unique data encryption key is used for each of the drive's possible16 data bands (see Section 8.5).

## 8.2 Controlled access

The drive has two security providers (SPs) called the "Admin SP" and the "Locking SP." These act as gatekeepers to the drive security services. Security-related commands will not be accepted unless they also supply the correct credentials to prove the requester is authorized to perform the command.

### 8.2.1 Admin SP

The Admin SP allows the drive's owner to enable or disable firmware download operations (see Section 8.4). Access to the Admin SP is available using the SID (Secure ID) password or the MSID (Manufacturers Secure ID) password.

### 8.2.2 Locking SP

The Locking SP controls read/write access to the media and the cryptographic erase feature. Access to the Locking SP is available using the BandMasterX or EraseMaster passwords. Since the drive owner can define up to 16 data bands on the drive, each data band has its own password called BandMasterX where X is the number of the data band (0 through 15).

### 8.2.3 Default password

When the drive is shipped from the factory, all passwords are set to the value of MSID. This 32-byte random value can only be read by the host electronically over the interface. After receipt of the drive, it is the responsibility of the owner to use the default MSID password as the authority to change all other passwords to unique owner-specified values.

## 8.3 Random number generator (RNG)

The drive has a 32-byte hardware RNG that it is uses to derive encryption keys or, if requested to do so, to provide random numbers to the host for system use, including using these numbers as Authentication Keys (passwords) for the drive's Admin and Locking SPs.

## 8.4 Drive locking

In addition to changing the passwords, as described in Section 8.2.3, the owner should also set the data access controls for the individual bands.

The variable "LockOnReset" should be set to "PowerCycle" to ensure that the data bands will be locked if power is lost. In addition "ReadLockEnabled" and "WriteLockEnabled" must be set to true in the locking table in order for the bands "LockOnReset" setting of "PowerCycle" to actually lock access to the band when a "PowerCycle" event occurs. This scenario occurs if the drive is removed from its cabinet. The drive will not honor any data read or write requests until the bands have been unlocked. This prevents the user data from being accessed without the appropriate credentials when the drive has been removed from its cabinet and installed in another system.

When the drive is shipped from the factory, the firmware download port is unlocked.

## 8.5 Data bands

When shipped from the factory, the drive is configured with a single data band called Band 0 (also known as the Global Data Band) which comprises LBA 0 through LBA max. The host may allocate Band1 by specifying a start LBA and an LBA range. The real estate for this band is taken from the Global Band. An additional 14 Data Bands may be defined in a similar way (Band2 through Band15) but before these bands can be allocated LBA space, they must first be individually enabled using the EraseMaster password.

Data bands cannot overlap but they can be sequential with one band ending at LBA (x) and the next beginning at LBA (x+1).

Each data band has its own drive-generated encryption key and its own user-supplied password. The host may change the Encryption Key (see Section 8.6) or the password when required. The bands should be aligned to 4K LBA boundaries.

## 8.6 Cryptographic erase

A significant feature of SEDs is the ability to perform a cryptographic erase. This involves the host telling the drive to change the data encryption key for a particular band. Once changed, the data is no longer recoverable since it was written with one key and will be read using a different key. Since the drive overwrites the old key with the new one, and keeps no history of key changes, the user data can never be recovered. This is tantamount to an instantaneous data erase and is very useful if the drive is to be scrapped or redispositioned.

## 8.7 Authenticated firmware download

In addition to providing a locking mechanism to prevent unwanted firmware download attempts, the drive also only accepts download files which have been cryptographically signed by the appropriate Seagate Design Center.

Three conditions must be met before the drive will allow the download operation:

1. The download must be an SED file. A standard (base) drive (non-SED) file will be rejected.
2. The download file must be signed and authenticated.
3. As with a non-SED drive, the download file must pass the acceptance criteria for the drive. For example it must be applicable to the correct drive model, and have compatible revision and customer status.

## 8.8 Power requirements

The standard drive models and the SED drive models have identical hardware, however the security and encryption portion of the drive controller ASIC is enabled and functional in the SED models. This represents a small additional drain on the 5V supply of about 30mA and a commensurate increase of about 150mW in power consumption. There is no additional drain on the 12V supply. See the tables in Section 6.3 for power requirements on the standard (non-SED) drive models.

## 8.9 Supported commands

The SED models support the following two commands in addition to the commands supported by the standard (non-SED) models as listed in Table 9:

- Security Protocol Out (B5h)
- Security Protocol In (A2h)

## 8.10 Sanitize - CRYPTOGRAPHIC ERASE

This command cryptographically erases all user data on the drive by destroying the current data encryption key and replacing it with a new data encryption key randomly generated by the drive. Sanitize CRYPTOGRAPHIC ERASE is a SCSI CDB Op code 48h and selecting the service action code 3 (CRYPTOGRAPHIC ERASE)

## 8.11 RevertSP

SED models will support the RevertSP feature which erases all data in all bands on the device and returns the contents of all SPs (Security Providers) on the device to their original factory state. In order to execute the RevertSP method the unique PSID (Physical Secure ID) printed on the drive label must be provided. PSID is not electronically accessible and can only be manually read from the drive label or scanned in via the 2D barcode.

# 9.0 Defect and error management

Seagate continues to use innovative technologies to manage defects and errors. These technologies are designed to increase data integrity, perform drive self-maintenance, and validate proper drive operation.

SCSI defect and error management involves drive internal defect/error management and SAS system error considerations (errors in communications between the initiator and the drive). In addition, Seagate provides the following technologies used to increase data integrity and drive reliability:

- Background Media Scan (see Section 9.4)
- Media Pre-Scan (see Section 9.5)
- Deferred Auto-Reallocation (see Section 9.6)
- Idle Read After Write (see Section 9.7)

The read error rates and specified storage capacities are not dependent on host (initiator) defect management routines.

## 9.1 Drive internal defects/errors

During the initial drive format operation at the factory, media defects are identified, tagged as being unusable, and their locations recorded on the drive primary defects list (referred to as the "P' list and also as the ETF defect list). At factory format time, these known defects are also reallocated, that is, reassigned to a new place on the medium and the location listed in the defects reallocation table. The "P" list is not altered after factory formatting. Locations of defects found and reallocated during error recovery procedures after drive shipment are listed in the "G" list (defects growth list). The "P" and "G" lists may be referenced by the initiator using the Read Defect Data command.

Details of the SCSI commands supported by the drive are described in the *SAS Interface Manual*. Also, more information on the drive Error Recovery philosophy is presented in the *SAS Interface Manual*.

## 9.2 Drive error recovery procedures

When an error occurs during drive operation, the drive, if programmed to do so, performs error recovery procedures to attempt to recover the data. The error recovery procedures used depend on the options previously set in the Error Recovery Parameters mode page. Error recovery and defect management may involve using several SCSI commands described in the *SAS Interface Manual*. The drive implements selectable error recovery time limits required in video applications.

The error recovery scheme supported by the drive provides a way to control the total error recovery time for the entire command in addition to controlling the recovery level for a single LBA. The total amount of time spent in error recovery for a command can be limited using the Recovery Time Limit bytes in the Error Recovery mode page. The total amount of time spent in error recovery for a single LBA can be limited using the Read Retry Count or Write Retry Count bytes in the Error Recovery mode page.

The drive firmware error recovery algorithms consist of 12 levels for read recoveries and five levels for write. Each level may consist of multiple steps, where a step is defined as a recovery function involving a single re-read or re-write attempt. The maximum level used by the drive in LBA recovery is determined by the read and write retry counts.

Table 6 equates the read and write retry count with the maximum possible recovery time for read and write recovery of individual LBAs. The times given do not include time taken to perform reallocations. Reallocations are performed when the ARRE bit (for reads) or AWRE bit (for writes) is one, the RC bit is zero, and the recovery time limit for the command has not yet been met. Time needed to perform reallocation is not counted against the recovery time limit.

When the RC bit is one, reallocations are disabled even if the ARRE or AWRE bits are one. The drive will still perform data recovery actions within the limits defined by the Read Retry Count, Write Retry Count, and Recovery Time Limit parameters. However, the drive does not report any unrecovered errors.

***Table 6 Read and write retry count maximum recovery times***

| Read retry count* | Maximum recovery time per LBA (cumulative, ms) |
|---|---|
| | |
| 1 | 124.32 |
| 5 | 621.62 |
| 10 | 1243.23 |
| 15 | 1864.85 |
| 20 (default) | 2486.47 |

| Write retry count | Maximum recovery time per LBA (cumulative, ms) |
|---|---|
| 0 | 35.94 |
| 1 | 53.91 |
| 2 | 79.89 |
| 3 | 97.86 |
| 4 | 175.85 |
| 5 (default) | 421.79 |

* For read retry count, every tick ~ 5% of total error recovery. Valid range setting is 1-20.

e.g. 1 ~ 5%

5 ~ 25%

20 ~ 100%

Setting these retry counts to a value below the default setting could result in degradation of the unrecovered error rate. For example, suppose the read/write recovery page has the RC bit = 0 and if the read retry count is set to 5, this means ~ 25% of error recovery will be executed which consumes 621.62 ms (please refer to the table above). If the limit is reached and a LBA has not yet been recovered (i.e. requires retries beyond 621.62 ms), the command will end with Check Condition status report and unrecoverable read error will be reported.

## 9.3 SAS system errors

Information on the reporting of operational errors or faults across the interface is given in the *SAS Interface Manual*. The SSP Response returns information to the host about numerous kinds of errors or faults. The Receive Diagnostic Results reports the results of diagnostic operations performed by the drive.

Status returned by the drive to the initiator is described in the *SAS Interface Manual*. Status reporting plays a role in systems error management and its use in that respect is described in sections where the various commands are discussed.

## 9.4 Background Media Scan

Background Media Scan (BMS) is a self-initiated media scan. BMS is defined in the T10 document SPC-4 available from the T10 committee. BMS performs sequential reads across the entire pack of the media while the drive is idle. In RAID arrays, BMS allows hot spare drives to be scanned for defects prior to being put into service by the host system. On regular duty drives, if the host system makes use of the BMS Log Page, it can avoid placing data in suspect locations on the media. Unreadable and recovered error sites will be logged or reallocated per ARRE/AWRE settings.

With BMS, the host system can consume less power and system overhead by only checking BMS status and results rather than tying up the bus and consuming power in the process of host-initiated media scanning activity.

Since the background scan functions are only done during idle periods, BMS causes a negligible impact to system performance. The first BMS scan for a newly manufactured drive is performed as quickly as possible to verify the media and protect data by setting the "Start time after idle" to 5ms, all subsequent scans begin after 500ms of idle time. Other features that normally use idle time to function will function normally because BMS functions for bursts of 800ms and then suspends activity for 100ms to allow other background functions to operate.

BMS interrupts immediately to service host commands from the interface bus while performing reads. BMS will complete any BMS-initiated error recovery prior to returning to service host-initiated commands. Overhead associated with a return to host-servicing activity from BMS only impacts the first command that interrupted BMS, this results in a typical delay of about 1 ms.

## 9.5 Media Pre-Scan

Media Pre-Scan is a feature that allows the drive to repair media errors that would otherwise have been found by the host system during critical data accesses early in the drive's life. The default setting for Media Pre-Scan is enabled on standard products. Media Pre-Scan checks each write command to determine if the destination LBAs have been scanned by BMS. If the LBAs have been verified, the drive proceeds with the normal write command. If the LBAs have not been verified by BMS, Pre-Scan will convert the write to a write verify to certify that the data was properly written to the disk.

| | |
|---|---|
| **Note** | During Pre-Scan write verify commands, write performance may decrease by 50% until Pre-Scan completes. Write performance testing should be performed after Pre-Scan is complete. This may be checked by reading the BMS status. |

To expedite the scan of the full pack and subsequently exit from the Pre-Scan period, BMS will begin scanning immediately when the drive goes to idle during the Pre-Scan period. In the event that the drive is in a high transaction traffic environment and is unable to complete a BMS scan within 24 power on hours BMS will disable Pre-Scan to restore full performance to the system.

## 9.6 Deferred Auto-Reallocation

Deferred Auto-Reallocation (DAR) simplifies reallocation algorithms at the system level by allowing the drive to reallocate unreadable locations on a subsequent write command. Sites are marked for DAR during read operations performed by the drive. When a write command is received for an LBA marked for DAR, the auto-reallocation process is invoked and attempts to rewrite the data to the original location. If a verification of this rewrite fails, the sector is re-mapped to a spare location.

This is in contrast to the system having to use the Reassign Command to reassign a location that was unreadable and then generate a write command to rewrite the data. DAR is most effective when AWRE and ARRE are enabled—this is the default setting from the Seagate factory. With AWRE and ARRE disabled DAR is unable to reallocate the failing location and will report an error sense code indicating that a write command is being attempted to a previously failing location.

## 9.7 Idle Read After Write

Idle Read After Write (IRAW) utilizes idle time to verify the integrity of recently written data. During idle periods, no active system requests, the drive reads recently written data from the media and compares it to valid write command data resident in the drives data buffer. Any sectors that fail the comparison result in the invocation of a rewrite and auto-reallocation process. The process attempts to rewrite the data to the original location. If a verification of this rewrite fails, the sector is re-mapped to a spare location.

## 9.8 Protection Information (PI)

Protection Information is intended as a standardized approach to system level LRC traditionally provided by systems using 520 byte formatted LBAs. Drives formatted with PI information provide the same, common LBA count (i.e. same capacity point) as non-PI formatted drives. Sequential performance of a PI drive will be reduced by approximately 1.56% due to the extra overhead of PI being transferred from the media that is not calculated as part of the data transferred to the host. To determine the full transfer rate of a PI drive, transfers should be calculated by adding the 8 extra bytes of PI to the transferred LBA length, i.e. 512 + 8 = 520. PI formatted drives are physically formatted to 520 byte sectors that store 512 bytes of customer data with 8 bytes of Protection Information appended to it. The advantage of PI is that the Protection Information bits can be managed at the HBA and HBA driver level. Allowing a system that typically does not support 520 LBA formats to integrate this level of protection.

Protection Information is valid with any supported LBA size. 512 LBA size is used here as common example.

### 9.8.1 Levels of PI

There are 4 types of Protection Information.

**Type 0** - Describes a drive that is not formatted with PI information bytes. This allows for legacy support in non-PI systems.

**Type 1** - Provides support of PI protection using 10 and 16 byte commands. The RDPROTECT and WRTPROTECT bits allow for checking control through the CDB. Eight bytes of Protection Information are transmitted at LBA boundaries across the interface if RDPROTECT and WRTPROTECT bits are nonzero values. Type 1 does not allow the use of 32 byte commands.

**Type 2** - Provides checking control and additional expected fields within the 32 byte CDBs. Eight bytes of Protection Information are transmitted at LBA boundaries across the interface if RDPROTECT and WRTPROTECT bits are nonzero values. Type 2 does allow the use of 10 and 16 byte commands with zero values in the RDPROTECT and WRTPROTECT fields. The drive will generate 8 bytes (e.g.0xFFFF) 8 bytes of Protection Information to be stored on the media, but the 8 bytes will not be transferred to the host during a read command.

**Type 3** - Seagate products do not support Type 3.

### 9.8.2 Setting and determining the current Type Level

A drive is initialized to a type of PI by using the format command on a PI capable drive. Once a drive is formatted to a PI Type, it may be queried by a Read Capacity (16) command to report the PI type which it is currently formatted to. PI Types cannot coexist on a single drive. A drive can only be formatted to a single PI Type. It can be changed at anytime to a new Type but requires a low level format which destroys all existing data on the drive. No other vehicle for changing the PI type is provided by the T10 SBC3 specification.

Type 1 PI format CDB command: 04 90 00 00 00 00, Write Buffer: 00 A0 00 00

Type 2 PI format CDB command: 04 D0 00 00 00 00, Write Buffer: 00 A0 00 00

### 9.8.3 Identifying a Protection Information drive

The Standard Inquiry provides a bit to indicate if PI is support by the drive. Vital Product Descriptor (VPD) page 0x86 provides bits to indicate the PI Types supported and which PI fields the drive supports checking.

| **Note** | For further details with respect to PI, please refer to SCSI Block Commands - 3 (SBC-3) Draft Standard documentation. |
|---|---|

# 10.0 Installation

Constellation ES.3 disk drive installation is a plug-and-play process. There are no jumpers, switches, or terminators on the drive.

SAS drives are designed to be used in a host system that provides a SAS-compatible backplane with bays designed to accommodate the drive. In such systems, the host system typically provides a carrier or tray into which users need to mount the drive. Mount the drive to the carrier or tray provided by the host system only using 6-32 UNC mounting screws. The screws should be inserted no more than 0.140 in (3.56mm) into the bottom or side mounting holes. When tightening the screws, do not overtighten use a maximum torque of 6 in-lb. Users can mount the drive in any orientation.

| **Note** | SAS drives are designed to be attached to the host system without I/O or power cables. If users intend the use the drive in a non-backplane host system, connecting the drive using high-quality cables is acceptable as long as the I/O cable length does not exceed 4 meters (13.1 feet). |
|---|---|

Slide the carrier or tray into the appropriate bay in the host system using the instructions provided by the host system. This connects the drive directly to the system's SAS connector. The SAS connector is normally located on a SAS backpanel. See Section 11.4.1 for additional information about these connectors.

Power is supplied through the SAS connector.

The drive is shipped from the factory low-level formatted in 512-byte logical blocks. Users need to reformat the drive only if selecting a different logical block size.

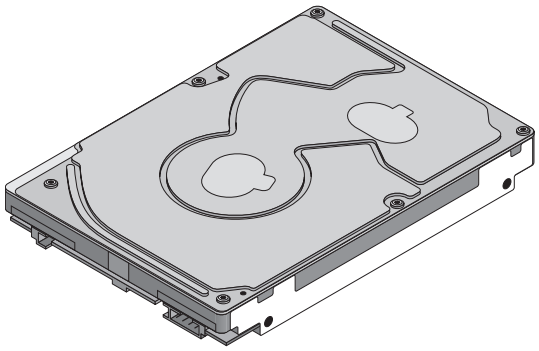


**Figure 17. Physical interface**

| **Note** | Image is for reference only, may not represent actual drive. |
|---|---|

## 10.1 Drive orientation

The drive may be mounted in any orientation. All drive performance characterizations, however, have been done with the drive in horizontal (discs level) and vertical (drive on its side) orientations, which are the two preferred mounting orientations.

## 10.2 Cooling

Cabinet cooling must be designed by the customer so that the ambient temperature immediately surrounding the drive will not exceed temperature conditions specified in Section 6.5.1, "Temperature."

The rack, cabinet, or drawer environment for the drive must provide heat removal from the electronics and head and disk assembly (HDA). Users should confirm that adequate heat removal is provided using the temperature measurement guidelines described in Section 6.5.1.

Forced air flow may be required to keep temperatures at or below the temperatures specified in Section 6.5.1 in which case the drive should be oriented, or air flow directed, so that the least amount of air flow resistance is created while providing air flow to the electronics and HDA. Also, the shortest possible path between the air inlet and exit should be chosen to minimize the travel length of air heated by the drive and other heat sources within the rack, cabinet, or drawer environment.

If forced air is determined to be necessary, possible air-flow patterns are shown in Figure 18. The air-flow patterns are created by one or more fans, either forcing or drawing air as shown in the illustrations. Conduction, convection, or other forced air-flow patterns are acceptable as long as the temperature measurement guidelines of Section 6.5.1 are met.

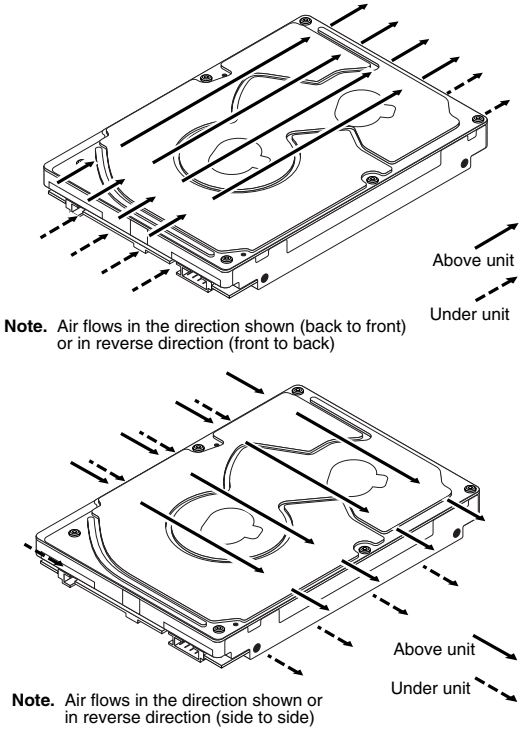



**Figure 18. Air flow**

| **Note** | Image is for reference only, may not represent actual drive. |
|---|---|

## 10.3 Drive mounting

Mount the drive using the bottom or side mounting holes. If users mount the drive using the bottom holes, ensure that users do not physically distort the drive by attempting to mount it on a stiff, non-flat surface.

The allowable mounting surface stiffness is 80 lb/in (14.0 N/mm). The following equation and paragraph define the allowable mounting surface stiffness:

$$K \times X = F \leq 15lb = 67N$$

where K is the mounting surface stiffness (units in lb/in or N/mm) and X is the out-of-plane surface distortion (units in inches or millimeters). The out-of-plane distortion (X) is determined by defining a plane with three of the four mounting points fixed and evaluating the out-of-plane deflection of the fourth mounting point when a known force (F) is applied to the fourth point.

| **Note** | Do not cover breather hole on top cover. |
|---|---|

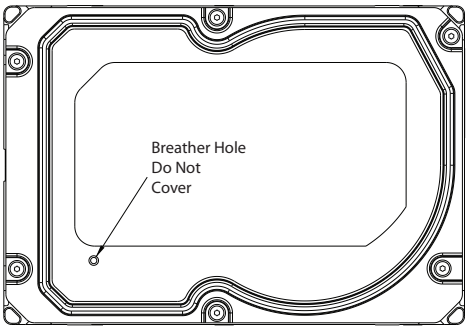



**Figure 19. Breather hole location - top cover**

## 10.4 Grounding

Signal ground (PCBA) and HDA ground are connected together in the drive and cannot be separated by the user. The equipment in which the drive is mounted is connected directly to the HDA and PCBA with no electrically isolating shock mounts. If it is desired for the system chassis to not be connected to the HDA/PCBA ground, the systems integrator or user must provide a nonconductive (electrically isolating) method of mounting the drive in the host equipment.

Increased radiated emissions may result if users do not provide the maximum surface area ground connection between system ground and drive ground. This is the system designer's and integrator's responsibility.

# 11.0 Interface requirements

This section partially describes the interface requirements as implemented on Constellation ES.3 drives. Additional information is provided in the *SAS Interface Manual* (part number 100293071).

## 11.1 SAS features

This section lists the SAS-specific features supported by Constellation ES.3 drives.

### 11.1.1 task management functions

Table 7 lists the SAS task management functions supported.

***Table 7 SAS task management functions supported***

| Task name | Supported |
|---|---|
| Abort Task | Yes |
| Clear ACA | Yes |
| Clear task set | Yes |
| Abort task set | Yes |
| Logical Unit Reset | Yes |
| Query Task | Yes |

### 11.1.2 task management responses

Table 8 lists the SAS response codes returned for task management functions supported.

***Table 8 Task management response codes***

| Function name | Response code |
|---|---|
| Function complete | 00 |
| Invalid frame | 02 |
| Function not supported | 04 |
| Function failed | 05 |
| Function succeeded | 08 |
| Invalid logical unit | 09 |

## 11.2 Dual port support

Constellation ES.3 SAS drives have two independent ports. These ports may be connected in the same or different SCSI domains. Each drive port has a unique SAS address.

The two ports have the capability of independent port clocking (e.g. both ports can run at 6Gb/s or the first port can run at 6Gb/s while the second port runs at 3Gb/s. The supported link rates are 1.5, 3.0, or 6.0 Gb/s.

Subject to buffer availability, the Constellation ES.3 drives support:

- Concurrent port transfers—The drive supports receiving COMMAND, TASK management transfers on both ports at the same time.
- Full duplex—The drive supports sending XFER_RDY, DATA and RESPONSE transfers while receiving frames on both ports.

## 11.3 SCSI commands supported

Table 9 lists the SCSI commands supported by Constellation ES.3 drives.

***Table 9 Supported commands***

| Command name | Command code | Supported |
|---|---|---|
| Change Definition | 40h | N |
| Compare | 39h | N |
| Copy | 18h | N |
| Copy and Verify | 3Ah | N |
| Format Unit [1] [5] | 04h | Y |
| DCRT bit supported | | Y |
| DPRY bit supported | | N |
| DSP bit supported | | Y |
| IMMED bit supported | | Y |
| IP bit supported | | Y |
| SI (Security Initialize) bit supported | | N |
| STPF bit supported | | Y |
| VS (vendor specific) | | N |
| Inquiry | 12h | Y |
| Date Code page (C1h) | | Y |
| Device Behavior page (C3h) | | Y |
| Firmware Numbers page (C0h) | | Y |
| Implemented Operating Def page (81h) | | Y |
| Jumper Settings page (C2h) | | Y |
| Supported Vital Product Data page (00h) | | Y |
| Unit Serial Number page (80h) | | Y |
| Lock-unlock cache | 36h | N |
| Log Select | 4Ch | Y |
| PCR bit | | Y |
| DU bit | | N |
| DS bit | | Y |
| TSD bit | | Y |
| ETC bit | | N |
| TMC bit | | N |
| LP bit | | N |
| Protocol-specific Log Page for SAS (18h) | | Y |
| Log Sense | 4Dh | Y |
| Application Client Log page (0Fh) | | N |
| Buffer Over-run/Under-run page (01h) | | N |
| Cache Statistics page (37h) | | Y |
| Factory Log page (3Eh) | | Y |
| Information Exceptions Log page (2Fh) | | Y |
| Last n Deferred Errors or Asynchronous Events page (0Bh) | | N |
| Last n Error Events page (07h) | | N |
| Non-medium Error page (06h) | | Y |

***Table 9 Supported commands***

| Command name | Command code | Supported |
|---|---|---|
| Pages Supported list (00h) | | Y |
| Read Error Counter page (03h) | | Y |
| Read Reverse Error Counter page (04h) | | N |
| Self-test Results page (10h) | | Y |
| Start-stop Cycle Counter page (0Eh) | | Y |
| Temperature page (0Dh) | | Y |
| Verify Error Counter page (05h) | | Y |
| Write error counter page (02h) | | Y |
| Mode Select (same pages as Mode Sense 1Ah) | 15h | Y [2] |
| Mode Select (10) (same pages as Mode Sense 1Ah) | 55h | Y |
| Mode Sense | 1Ah | Y [2] |
| Caching Parameters page (08h) | | Y |
| Control Mode page (0Ah) | | Y |
| Disconnect/Reconnect (02h) | | Y |
| Error Recovery page (01h) | | Y |
| Format page (03h) | | Y |
| Information Exceptions Control page (1Ch) | | Y |
| Notch and Partition Page (0Ch) | | N |
| Protocol-Specific Port page (19h) | | Y |
| Power Condition page (1Ah) | | Y |
| Rigid disk Drive Geometry page (04h) | | Y |
| Unit Attention page (00h) | | Y |
| Verify Error Recovery page (07h) | | Y |
| Xor Control page (10h) | | N |
| Mode Sense (10) (same pages as Mode Sense 1Ah) | 5Ah | Y |
| Persistent Reserve In | 5Eh | Y |
| Persistent Reserve Out | 5Fh | Y |
| Prefetch | 34h | N |
| Read (6) | 08h | Y |
| Read (10) | 28h | Y |
| DPO bit supported | | Y |
| FUA bit supported | | Y |
| Read (12) | A8h | N |
| Read (16) | 88h | Y |
| Read (32) | 7Fh/0009h | N |
| Read Buffer (modes 0, 2, 3, Ah and Bh supported) | 3Ch | Y (non-SED drives only) |
| Read Capacity (10) | 25h | Y |
| Read Capacity (16) | 9Eh/10h | Y |
| Read Defect Data (10) | 37h | Y |
| Read Defect Data (12) | B7h | Y |
| Read Long | 3Eh | Y (non-SED drives only) |
| Read Long (16) | 9Eh/11h | Y |

***Table 9 Supported commands***

| Command name | Command code | Supported |
|---|---|---|
| Reassign Blocks | 07h | Y |
| Receive Diagnostic Results | 1Ch | Y |
| Supported Diagnostics pages (00h) | | Y |
| Translate page (40h) | | Y |
| Release | 17h | Y |
| Release (10) | 57h | Y |
| Report LUNs | A0h | Y |
| Request Sense | 03h | Y |
| Actual Retry Count bytes | | Y |
| Extended Sense | | Y |
| Field Pointer bytes | | Y |
| Reserve | 16h | Y |
| 3rd Party Reserve | | Y |
| Extent Reservation | | N |
| Reserve (10) | 56h | Y |
| 3rd Party Reserve | | Y |
| Extent Reservation | | N |
| Rezero Unit | 01h | Y |
| Sanitize (CRYPTOGRAPHIC ERASE) | 48h | Y (SED drives only) |
| Search Data Equal | 31h | N |
| Search Data High | 30h | N |
| Search Data Low | 32h | N |
| Security Protocol In | A2h | Y (SED models only) |
| Security Protocol Out | B5h | Y (SED models only) |
| Seek (6) | 0Bh | Y |
| Seek (10) | 2Bh | Y |
| Send Diagnostics | 1Dh | Y |
| Supported Diagnostics pages (00h) | | Y |
| Translate page (40h) | | Y |
| Set Limits | 33h | N |
| Start Unit/Stop Unit (spindle ceases rotating) | 1Bh | Y |
| Synchronize Cache | 35h | Y |
| Synchronize Cache (16) | 91h | Y |
| Test Unit Ready | 00h | Y |
| Verify (10) | 2Fh | Y |
| BYTCHK bit | | Y |
| Verify (12) | AFh | N |
| Verify (16) | AFh | Y |
| Verify (32) | 7Fh/000Ah | N |
| Write (6) | 0Ah | Y |
| Write (10) | 2Ah | Y |
| DPO bit | | Y |

***Table 9 Supported commands***

| Command name | Command code | Supported |
|---|---|---|
| FUA bit | | Y |
| Write (12) | AAh | N |
| Write (16) | 8Ah | Y |
| Write (32) | 7Fh/000Bh | N |
| Write and Verify (10) | 2Eh | Y |
| DPO bit | | Y |
| Write and Verify (12) | AEh | N |
| Write and Verify (16) | 8Eh | Y |
| Write and Verify (32) | 7Fh/000Ch | N |
| Write Buffer (modes 0, 2, supported) | 3Bh | Y (non-SED drives only) |
| Write Buffer | 3Bh | |
| Firmware Download option (modes 5, 7, Ah and Bh) [3] | | Y (non-SED drives only) |
| Firmware Download option (modes 4, 5, 7) | | Y (SED drives only) |
| Write Long (10) | 3Fh | Y |
| Write Long (16) | 9Fh/11h | Y |
| Write Same (10) [5] | 41h | Y |
| PBdata | | N |
| LBdata | | N |
| Write Same (16) [5] | 93h | Y |
| Write Same (32) | 7Fh/000Dh | N |
| XDRead | 52h | N |
| XDWrite | 50h | N |
| XPWrite | 51h | N |

[1] Constellation ES.3 drives can format to 512, 520 or 528 bytes per logical block.
[2] **Warning.** Power loss during flash programming can result in firmware corruption. This usually makes the drive inoperable.
[3] Reference Mode Sense command 1Ah for mode pages supported.
[4] Y = Yes. Command is supported.
N = No. Command is not supported.
A = Support is available on special request.
[5] Approximately 1.5 increase in time to complete this command for a SED drive versus a non-SED drive of the same capacity.

### 11.3.1 Inquiry data

Table 10 lists the Inquiry command data that the drive should return to the initiator per the format given in the *SAS Interface Manual*.

***Table 10 Constellation ES.3 inquiry data***

| Bytes | Data (hex) | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0-15 | 00 | 00 | xx** | 12 | 8B | 00 | 30 | 02 | 53 | 45 | 41 | 47 | 41 | 54 | 45 | 20 | Vendor ID |
| 16-31 | [53 | 54 | 34 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 32 | 33} | 20 | 20 | 20 | 20 | Product ID |
| 32-47 | R# | R# | R# | R# | S# | S# | S# | S# | S# | S# | S# | S# | 00 | 00 | 00 | 00 | |
| 48-63 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | |
| 64-79 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | |
| 80-95 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | 00 | |
| 96-111 | 00 | 43 | 6F | 70 | 79 | 72 | 69 | 67 | 68 | 74 | 20 | 28 | 63 | 29 | 20 | 32* | *Copyright |
| 112-127 | 30* | 30* | 39* | 20 | 53 | 65 | 61 | 67 | 61 | 74 | 65 | 20 | 41 | 6C | 6C | 20 | notice |
| 128-143 | 72 | 69 | 67 | 68 | 74 | 73 | 20 | 72 | 65 | 73 | 65 | 72 | 76 | 65 | 64 | 20 | |

* Copyright year (changes with actual year).

** SCSI Revision support. See the appropriate SPC release documentation for definitions.

PP 10 = Inquiry data for an Inquiry command received on Port A.
30 = Inquiry data for an Inquiry command received on Port B.

R# Four ASCII digits representing the last four digits of the product firmware release number.

S# Eight ASCII digits representing the eight digits of the product serial number.

[ ] Bytes 16 through 26 reflect model of drive. The table above shows the hex values for Model ST4000NM0023.
Refer to the values below for the values of bytes 16 through 26 of the particular model:

| | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ST4000NM0043 | 53 | 54 | 34 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 34 | 33 |
| ST4000NM0063 | 53 | 54 | 34 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 36 | 33 |
| ST3000NM0023 | 53 | 54 | 33 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 32 | 33 |
| ST3000NM0043 | 53 | 54 | 33 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 34 | 33 |
| ST3000NM0063 | 53 | 54 | 33 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 36 | 33 |
| ST2000NM0023 | 53 | 54 | 32 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 32 | 33 |
| ST2000NM0043 | 53 | 54 | 32 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 34 | 33 |
| ST2000NM0063 | 53 | 54 | 32 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 36 | 33 |
| ST1000NM0023 | 53 | 54 | 31 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 32 | 33 |
| ST1000NM0043 | 53 | 54 | 31 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 34 | 33 |
| ST1000NM0063 | 53 | 54 | 31 | 30 | 30 | 30 | 4E | 4D | 30 | 30 | 36 | 33 |

### 11.3.2 Mode Sense data

The Mode Sense command provides a way for the drive to report its operating parameters to the initiator. The drive maintains four sets of mode parameters:

1. Default values

   Default values are hard-coded in the drive firmware stored in flash E-PROM (nonvolatile memory) on the drive's PCB. These default values can be changed only by downloading a complete set of new firmware into the flash E-PROM. An initiator can request and receive from the drive a list of default values and use those in a Mode Select command to set up new current and saved values, where the values are changeable.

2. Saved values

   Saved values are stored on the drive's media using a Mode Select command. Only parameter values that are allowed to be changed can be changed by this method. Parameters in the saved values list that are not changeable by the Mode Select command get their values from default values storage.

   When power is applied to the drive, it takes saved values from the media and stores them as current values in volatile memory. It is not possible to change the current values (or the saved values) with a Mode Select command before the drive achieves operating speed and is "ready." An attempt to do so results in a "Check Condition" status.

   On drives requiring unique saved values, the required unique saved values are stored into the saved values storage location on the media prior to shipping the drive. Some drives may have unique firmware with unique default values also.

   On standard OEM drives, the saved values are taken from the default values list and stored into the saved values storage location on the media prior to shipping.

3. Current values

   Current values are volatile values being used by the drive to control its operation. A Mode Select command can be used to change the values identified as changeable values. Originally, current values are installed from saved or default values after a power on reset, hard reset, or Bus Device Reset message.

4. Changeable values

   Changeable values form a bit mask, stored in nonvolatile memory, that dictates which of the current values and saved values can be changed by a Mode Select command. A one (1) indicates the value can be changed. A zero (0) indicates the value is not changeable. For example, in Table 11, refer to Mode page 81, in the row entitled "CHG." These are hex numbers representing the changeable values for Mode page 81. Note in columns 5 and 6 (bytes 04 and 05), there is 00h which indicates that in bytes 04 and 05 none of the bits are changeable. Note also that bytes 06, 07, 09, 10, and 11 are not changeable, because those fields are all zeros. In byte 02, hex value FF equates to the binary pattern 11111111. If there is a zero in any bit position in the field, it means that bit is not changeable. Since all of the bits in byte 02 are ones, all of these bits are changeable.

   The changeable values list can only be changed by downloading new firmware into the flash E-PROM.

| **Note** | Because there are often several different versions of drive control firmware in the total population of drives in the field, the Mode Sense values given in the following tables may not exactly match those of some drives. |
|---|---|

The following tables list the values of the data bytes returned by the drive in response to the Mode Sense command pages for SCSI implementation (see the *SAS Interface Manual*).

DEF = Default value. Standard OEM drives are shipped configured this way.

CHG = Changeable bits; indicates if default value is changeable.

***Table 11 Mode Sense data changeable and default values for 4TB drives***

MODE DATA HEADER:
01 9a 00 10 01 00 00 10

BLOCK DESCRIPTOR:
00 00 00 01 5d 50 a3 b0 00 00 00 00 00 00 02 00

MODE PAGES:

DEF 81 0a c0 14 ff 00 00 00 05 00 ff ff
CHG 81 0a ff ff 00 00 00 00 ff 00 ff ff

DEF 82 0e 00 00 00 00 00 00 00 00 a0 00 00 00 00 00
CHG 82 0e 00 00 00 00 00 00 ff ff ff ff 00 00 00 00

DEF 83 16 00 01 00 00 00 00 00 02 04 c4 02 00 00 01 00 dc 00 1c 40 00 00 00
CHG 83 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 84 16 00 00 04 0a 00 00 00 00 00 00 00 00 00 00 00 00 00 00 1c 20 00 00
CHG 84 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 87 0a 00 14 ff 00 00 00 00 00 ff ff
CHG 87 0a 0f ff 00 00 00 00 00 00 ff ff

DEF 88 12 14 00 ff ff 00 00 ff ff ff ff 80 20 00 00 00 00 00 00
CHG 88 12 a5 00 00 00 ff ff ff ff 00 00 20 00 00 00 00 00 00 00

DEF 8a 0a 06 00 00 80 00 00 00 00 7f bc
CHG 8a 0a 0f f6 00 00 00 00 00 00 00 00

DEF 98 06 06 00 00 00 00 00
CHG 98 06 00 00 00 00 00 00

DEF 99 0e 46 00 07 d0 00 00 00 00 00 00 00 00 00 00
CHG 99 0e 50 00 ff ff ff ff ff ff 00 00 00 00 00 00

DEF 9a 26 00 06 00 00 04 b0 00 00 8c a0 00 00 17 70 00 00 46 50 00 00 46 50 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 58
CHG 9a 26 01 0f ff ff ff ff ff ff ff ff ff ff ff ff ff ff ff ff ff ff ff ff 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 fc

DEF 9c 0a 10 00 00 00 00 00 00 00 00 01
CHG 9c 0a 9d 0f ff ff ff ff ff ff ff ff

DEF 80 06 00 80 0f 00 00 00
CHG 80 06 b7 c0 8f 00 00 00

***Table 12 Mode Sense data changeable and default values for 3TB drives***

MODE DATA HEADER:
01 9a 00 10 01 00 00 10

BLOCK DESCRIPTOR:
00 00 00 01 5d 50 a3 b0 00 00 00 00 00 00 02 00

MODE PAGES:

DEF 81 0A C0 14 FF 00 00 00 05 00 FF FF
CHG 81 0A FF FF 00 00 00 00 FF 00 FF FF

DEF 82 0E 00 00 00 00 00 00 00 00 00 A0 00 00 00 00
CHG 82 0E 00 00 00 00 00 00 FF FF FF FF 00 00 00 00

DEF 83 16 00 01 00 00 00 00 00 02 04 C4 02 00 00 01 00 DC 00 1C 40 00 00 00
CHG 83 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 84 16 00 00 04 08 00 00 00 00 00 00 00 00 00 00 00 00 00 00 1C 20 00 00
CHG 84 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 87 0A 00 14 FF 00 00 00 00 00 FF FF
CHG 87 0A 0F FF 00 00 00 00 00 00 FF FF

DEF 88 12 14<00 FF FF 00 00 FF FF FF FF 80 20 00 00 00 00 00 00
CHG 88 12 A5 00 00 00 FF FF FF FF 00 00 20 00 00 00 00 00 00 00

DEF 8A 0A 06 00 00 80 00 00 00 00 65 90
CHG 8A 0A 0F F6 00 00 00 00 00 00 00 00

DEF 98 06 06 00 00 00 00 00
CHG 98 06 00 00 00 00 00 00

DEF 99 0E 46 00 07 D0 00 00 00 00 00 00 00 00 00 00
CHG 99 0E 50 00 FF FF FF FF FF FF 00 00 00 00 00 00

DEF 9A 26 00 06 00 00 04 B0 00 00 8C A0 00 00 17 70 00 00 46 50 00 00 46 50 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 58
CHG 9A 26 01 0F FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 FC

DEF 9C 0A 10 00 00 00 00 00 00 00 00 01
CHG 9C 0A 9D 0F FF FF FF FF FF FF FF FF

DEF 80 06 00 80 0F 00 00 00
CHG 80 06 B7 C0 8F 00 00 00

***Table 13 Mode Sense data changeable and default values for 2TB drives***

MODE DATA HEADER:
01 9a 00 10 01 00 00 10

BLOCK DESCRIPTOR:
00 00 00 01 5d 50 a3 b0 00 00 00 00 00 00 02 00

MODE PAGES:

DEF 81 0A C0 14 FF 00 00 00 05 00 FF FF
CHG 81 0A FF FF 00 00 00 00 FF 00 FF FF

DEF 82 0E 00 00 00 00 00 00 00 00 A0 00 00 00 00
CHG 82 0E 00 00 00 00 00 00 FF FF FF FF 00 00 00 00

DEF 83 16 00 01 00 00 00 00 00 02 04 C4 02 00 00 01 00 DC 00 1C 40 00 00 00
CHG 83 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 84 16 00 00 04 05 00 00 00 00 00 00 00 00 00 00 00 00 00 00 1C 20 00 00
CHG 84 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 87 0A 00 14 FF 00 00 00 00 00 FF FF
CHG 87 0A 0F FF 00 00 00 00 00 00 FF FF

DEF 88 12 14 00 FF FF 00 00 FF FF FF FF 80 20 00 00 00 00 00 00
CHG 88 12 A5 00 00 00 FF FF FF FF 00 00 20 00 00 00 00 00 00 00

DEF 8A 0A 02 00 00 80 00 00 00 00 47 7C
CHG 8A 0A 0F F6 00 00 00 00 00 00 00 00

DEF 98 06 06 00 00 00 00 00
CHG 98 06 00 00 00 00 00 00

DEF 99 0E 46 00 07 D0 00 00 00 00 00 00 00 00 00 00
CHG 99 0E 50 00 FF FF FF FF FF FF 00 00 00 00 00 00

DEF 9A 26 00 06 00 00 04 B0 00 00 8C A0 00 00 17 70 00 00 46 50 00 00 46 50 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 58
CHG 9A 26 01 0F FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 FC

DEF 9C 0A 10 00 00 00 00 00 00 00 00 01
CHG 9C 0A 9D 0F FF FF FF FF FF FF FF FF

DEF 80 06 00 80 0F 00 00 00
CHG 80 06 B7 C0 8F 00 00 00

***Table 14 Mode Sense data changeable and default values for 1TB drives***

MODE DATA HEADER:
01 9a 00 10 01 00 00 10

BLOCK DESCRIPTOR:
00 00 00 01 5d 50 a3 b0 00 00 00 00 00 00 02 00

MODE PAGES:

DEF 81 0A C0 14 FF 00 00 00 05 00 FF FF
CHG 81 0A FF FF 00 00 00 00 FF 00 FF FF

DEF 82 0E 00 00 00 00 00 00 00 00 A0 00 00 00 00
CHG 82 0E 00 00 00 00 00 00 FF FF FF FF 00 00 00 00

DEF 83 16 00 01 00 00 00 00 00 02 04 C4 02 00 00 01 00 DC 00 1C 40 00 00 00
CHG 83 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 84 16 00 00 04 03 00 00 00 00 00 00 00 00 00 00 00 00 00 00 1C 20 00 00
CHG 84 16 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

DEF 87 0A 00 14 FF 00 00 00 00 00 FF FF
CHG 87 0A 0F FF 00 00 00 00 00 00 FF FF

DEF 88 12 14<00 FF FF 00 00 FF FF FF FF 80 20 00 00 00 00 00 00
CHG 88 12 A5 00 00 00 FF FF FF FF 00 00 20 00 00 00 00 00 00 00

DEF 8A 0A 02 00 00 80 00 00 00 00 2A 30
CHG 8A 0A 0F F6 00 00 00 00 00 00 00 00

DEF 98 06 06 00 00 00 00 00
CHG 98 06 00 00 00 00 00 00

DEF 99 0E 46 00 07 D0 00 00 00 00 00 00 00 00 00 00
CHG 99 0E 50 00 FF FF FF FF FF FF 00 00 00 00 00 00

DEF 9A 26 00 06 00 00 04 B0 00 00 8C A0 00 00 17 70 00 00 46 50 00 00 46 50 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 58
CHG 9A 26 01 0F FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF FF 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 FC

DEF 9C 0A 10 00 00 00 00 00 00 00 00 01
CHG 9C 0A 9D 0F FF FF FF FF FF FF FF FF

DEF 80 06 00 80 0F 00 00 00
CHG 80 06 B7 C0 8F 00 00 00

## 11.4 Miscellaneous operating features and conditions

Table 15 lists various features and conditions. A "Y" in the support column indicates the feature or condition is supported. An "N" in the support column indicates the feature or condition is not supported.

***Table 15 Miscellaneous features***

| Supported | Feature or condition |
|---|---|
| N | Automatic contingent allegiance |
| N | Asynchronous event notification |
| N | Synchronized (locked) spindle operation |
| Y | Segmented caching |
| N | Zero latency read |
| Y | Queue tagging (up to 64 queue tags supported) |
| Y | Deferred error handling |
| Y | Parameter rounding (controlled by Round bit in Mode Select page 0) |
| Y | Reporting actual retry count in Extended Sense bytes 15, 16, and 17 |
| N | Adaptive caching |
| Y | SMP = 1 in Mode Select command needed to save RPL and rotational offset bytes |

***Table 16 Miscellaneous status***

| Supported | Status |
|---|---|
| Y | Good |
| Y | Check condition |
| Y | Condition met/good |
| Y | Busy |
| Y | Intermediate/good |
| Y | Intermediate/condition met/good |
| Y | Reservation conflict |
| Y | Task set full |
| N | ACA active |
| N | ACA active, faulted initiator |

### 11.4.1 SAS physical interface

Figure 20 shows the location of the SAS device connector J1. Figures 21 and 22 provide the dimensions of the SAS connector.

Details of the physical, electrical, and logical characteristics are provided within this section. The operational aspects of Seagate's SAS drives are provided in the *SAS Interface Manual*.

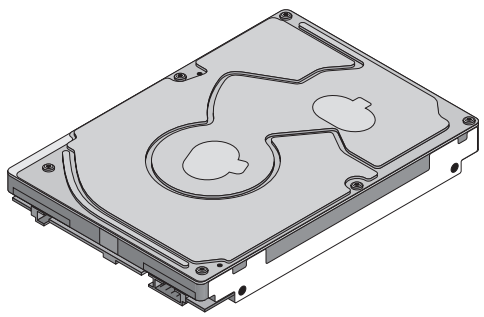


**Figure 20. Physical interface**

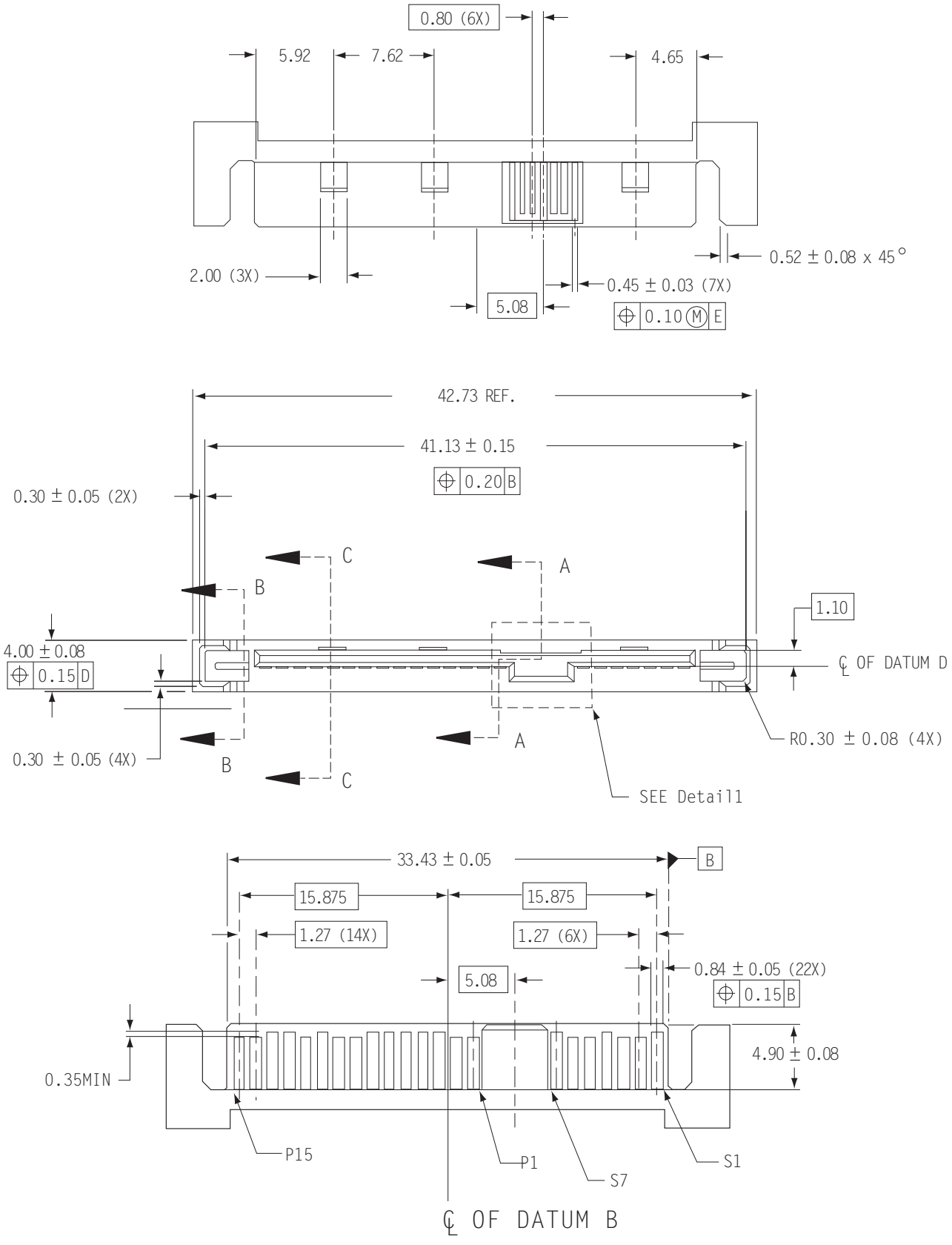



**Figure 21. SAS device plug dimensions**

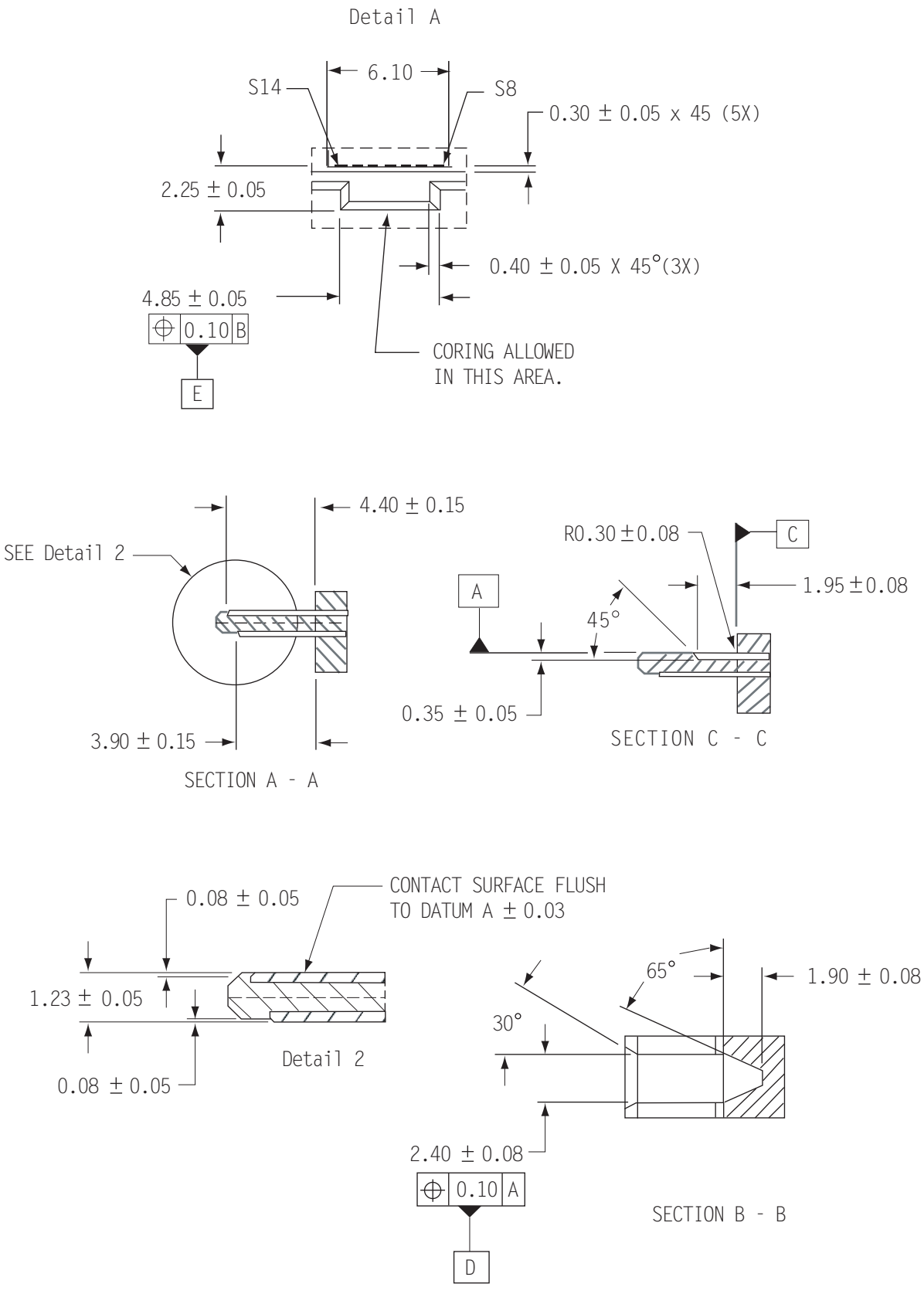



**Figure 22. SAS device plug dimensions (detail)**

### 11.4.2 Physical characteristics

This section defines physical interface connector.

### 11.4.3 Connector requirements

Contact the preferred connector manufacturer for mating part information. Part numbers for SAS connectors will be provided in a future revision of this publication when production parts are available from major connector manufacturers.

The SAS device connector is illustrated in Figures 21 and 22.

### 11.4.4 Electrical description

SAS drives use the device connector for:

- DC power
- SAS interface
- Activity LED

This connector is designed to either plug directly into a backpanel or accept cables.

### 11.4.5 Pin descriptions

This section provides a pin-out of the SAS device and a description of the functions provided by the pins.

***Table 17 SAS pin descriptions***

| Pin | Signal name | Signal type | Pin | Signal name | Signal type |
|---|---|---|---|---|---|
| S1 | Port A Ground | | P1* | NC (reserved 3.3Volts) | |
| S2* | +Port A_in | Diff. input pair | P2* | NC (reserved 3.3Volts) | |
| S3* | -Port A_in | | P3 | NC (reserved 3.3Volts) | |
| S4 | Port A Ground | | P4 | Ground | |
| S5* | -Port A_out | Diff output pair | P5 | Ground | |
| S6* | +Port A_out | | P6 | Ground | |
| S7 | Port A Ground | | P7 | 5 Volts charge | |
| S8 | Port B Ground | | P8* | 5 Volts | |
| S9* | +Port B_in | Diff. input pair | P9* | 5 Volts | |
| S10* | -Port B_in | | P10 | Ground | |
| S11 | Port A Ground | | P11* | Ready LED | Open collector out |
| S12* | -Port B_out | Diff output pair | P12 | Ground | |
| S13* | +Port B_out | | P13 | 12 Volts charge | |
| S14 | Port B Ground | | P14* | 12 Volts | |
| | | | P15* | 12 Volts | |

* - Short pin to support hot plugging

NC - No connection in the drive.

### 11.4.6 SAS transmitters and receivers

A typical SAS differential copper transmitter and receiver pair is shown in Figure 23. The receiver is AC coupling to eliminate ground shift noise.

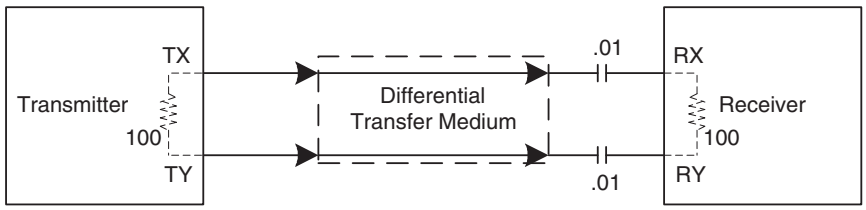



**Figure 23. SAS transmitters and receivers**

### 11.4.7 Power

The drive receives power (+5 volts and +12 volts) through the SAS device connector.

Three +12 volt pins provide power to the drive, 2 short and 1 long. The current return for the +12 volt power supply is through the common ground pins. The supply current and return current must be distributed as evenly as possible among the pins.

Three +5 volt pins provide power to the drive, 2 short and 1 long. The current return for the +5 volt power supply is through the common ground pins. The supply current and return current must be distributed as evenly as possible among the pins.

Current to the drive through the long power pins may be limited by the system to reduce inrush current to the drive during hot plugging.

## 11.5 Signal characteristics

This section describes the electrical signal characteristics of the drive's input and output signals. See Table 17 for signal type and signal name information.

### 11.5.1 Ready LED Out

The Ready LED Out signal is driven by the drive as indicated in Table 18.

***Table 18 Ready LED Out conditions***

| **Normal command activity** | **LED status** | |
|---|---|---|
| Ready LED Meaning bit mode page 19h | 0 | 1 |
| Spun down and no activity | Off | Off |
| Spun down and activity (command executing) | On | On |
| Spun up and no activity | On | Off |
| Spun up and activity (command executing) | Off | On |
| Spinning up or down | Blinks steadily<br>(50% on and 50% off, 0.5 seconds on and off for 0.5 seconds) | |
| Format in progress, each cylinder change | Toggles on/off | |

The Ready LED Out signal is designed to pull down the cathode of an LED. The anode is attached to the proper +3.3 volt supply through an appropriate current limiting resistor. The LED and the current limiting resistor are external to the drive. See Table 19 for the output characteristics of the LED drive signals.

***Table 19 LED drive signal***

| State | Test condition | Output voltage |
|---|---|---|
| LED off, high | 0 V ≤ VOH £ 3.6 V | -100 µA < $I_{OH}$ < 100 µA |
| LED on, low | $I_{OL}$ = 15 mA | 0 ≤ $V_{OL}$ ≤ 0.225 V |

### 11.5.2 Differential signals

The drive SAS differential signals comply with the intra-enclosure (internal connector) requirements of the SAS standard.

Table 20 defines the general interface characteristics.

***Table 20 General interface characteristics***

| Characteristic | Units | 1.5Gb/s | 3.0Gb/s | 6.0Gb/s |
|---|---|---|---|---|
| Bit rate (nominal) | Mbaud | 1,500 | 3,000 | 6,000 |
| Unit interval (UI)(nominal) | ps | 666.6 | 333.3 | 166.6 |
| Impedance (nominal, differential ) | ohm | 100 | 100 | 100 |
| Transmitter transients, maximum | V | ± 1.2 | ± 1.2 | ± 1.2 |
| Receiver transients, maximum | V | ± 1.2 | ± 1.2 | ± 1.2 |

## 11.6 SAS-2 Specification Compliance

Seagate SAS-2 drives are entirely compatible with the latest SAS-2 Specification (T10/1760-D) Revision 16.

The most important characteristic of the SAS-2 drive at 6Gb/s is that the receiver is capable of adapting the equalizer to optimize the receive margins. The SAS-2 drive has two types of equalizers:

1. A Decision Feedback Equalizer (DFE) which utilizes the standard SAS-2 training pattern transmitted during the SNW-3 training gap. The DFE circuit can derive an optimal equalization characteristic to compensate for many of the receive losses in the system.
2. A Feed Forward Equalizer (FFE) optimized to provide balanced receive margins over a range of channels bounded by the best and worst case channels as defined by the relevant ANSI standard.

## 11.7 Additional information

Please contact the Seagate representative for SAS electrical details, if required.

For more information about the Phy, Link, Transport, and Applications layers of the SAS interface, refer to the Seagate SAS Interface Manual, part number 100293071.

For more information about the SCSI commands used by Seagate SAS drives, refer to the Seagate SCSI Commands Reference Manual, part number 100293068.



**Seagate Technology LLC**

*AMERICAS Seagate Technology LLC 10200 South De Anza Boulevard, Cupertino, California 95014, United States, 408-658-1000*
*ASIA/PACIFIC Seagate Singapore International Headquarters Pte. Ltd. 7000 Ang Mo Kio Avenue 5, Singapore 569877, 65-6485-3888*
*EUROPE, MIDDLE EAST AND AFRICA Seagate Technology SAS 16-18 rue du Dôme, 92100 Boulogne-Billancourt, France, 33 1-4186 10 00*

*Publication Number: 100671510, Rev. F*
*September 2016*